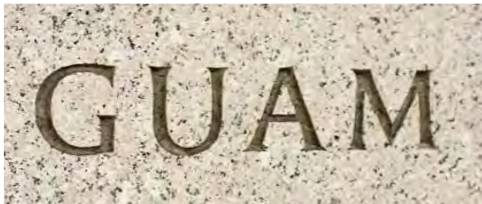




# INTERAGENCY COORDINATION GROUP OF INSPECTORS GENERAL FOR GUAM REALIGNMENT ANNUAL REPORT



SECTION 2835 OF THE NATIONAL DEFENSE  
AUTHORIZATION ACT FOR FISCAL YEAR 2010

[FEBRUARY 1, 2012]  
(Public Law 111-84)

On October 28, 2009, President Obama signed Public Law 111-84, “The National Defense Authorization Act for FY 2010.” Section 2835 of the Act established the Interagency Coordination Group of Inspectors General for the Guam Realignment with the following duties.

## PUBLIC LAW 111-84

*It shall be the duty of the Interagency Coordination Group to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated or otherwise made available for military construction on Guam and of the programs, operations, and contracts carried out utilizing such funds, including—*

- (A) the oversight and accounting of the obligation and expenditure of such funds;*
- (B) the monitoring and review of construction activities funded by such funds;*
- (C) the monitoring and review of contracts funded by such funds;*
- (D) the monitoring and review of the transfer of such funds and associated information between and among departments, agencies, and entities of the U.S. and private and nongovernmental entities;*
- (E) the maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such funds; and*
- (F) the monitoring and review of the implementation of the Defense Posture Review Initiative relating to the realignment of military installations and the relocation of military personnel on Guam.*

*Not later than February 1 of each year, the chairperson of the Interagency Coordination Group shall submit to the congressional defense committees, the Secretary of Defense, and the Secretary of the Interior a report summarizing, for the preceding calendar year, the activities of the Interagency Coordination Group during such year and the activities under programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Each report shall include, for the year covered by the report, a detailed statement of all obligations, expenditures, and revenues associated with such construction.*

See Appendices A and B for the full text of the legislative mandates for the Guam realignment in the National Defense Authorization Act for FY 2010 and FY 2012, respectively.

## Message from the Chairperson, Interagency Coordination Group


I am pleased to provide the third annual report of the Interagency Coordination Group of Inspectors General for Guam Realignment. This annual report, required by Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” October 28, 2009, covers the reporting period from January 1, 2011, through December 31, 2011.

The Interagency Coordination Group met on December 14, 2011, to discuss legislative requirements, facilitate interagency coordination, and request information on oversight initiatives that were planned or completed. The Interagency Coordination Group members are the Inspectors General of the Departments of Defense and Interior, joined by representatives of the Inspectors General of the Departments of Education, Homeland Security, Transportation, and the Environmental Protection Agency.

The Interagency Coordination Group focuses on challenge areas for the realignment, including operational readiness, quality of life, contract management, contractor oversight, asset accountability, and financial management. The group considers risks associated with these challenges when developing oversight initiatives.

Two planning groups support the Interagency Coordination Group: the Interagency Planning Group, consisting of representatives of our interagency partners, and the Joint Planning Group, consisting of representatives of the Department of Defense oversight partners. Both groups are led by Department of Defense Inspector General staff, who provide briefings, track trends, coordinate audit de-confliction, and present issues to the Interagency Coordination Group for consideration.

I want to thank the Interagency Coordination Group participants and those Federal and Defense agencies supporting this effort. As the group moves forward, Congress, the Department of Defense, and senior leadership throughout the U.S. Government will use their oversight efforts to minimize the impact on the citizens of Guam, improve the economy and efficiency of vital programs and operations, and sustain the readiness of U.S. forces.



**Lynne M. Halbrooks**



## EXECUTIVE SUMMARY

Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” (NDAA 2010), October 28, 2009, section 2835, designates the Inspector General of the Department of Defense as the Chairperson of the Interagency Coordination Group of Inspectors General for Guam Realignment. The Chairperson is required to provide an annual report to the congressional defense committees, the Secretary of Defense, and the Secretary of the Interior on the activities of the Interagency Coordination Group and the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. This report contains data collected from multiple organizations. The Department of Defense Office of Inspector General did not independently verify, analyze, or validate the data provided for this report.

The Defense Posture Review Initiative serves as the framework for the future of U.S. force structure in Japan and the U.S. Marine Corps realignment to Guam. The purpose of the Defense Posture Review Initiative is to develop a common security view; to review roles, missions, and capabilities; and to review force posture changes. Included in these initiatives are the relocation of U.S. Marine Corps personnel to Guam, the return of selected bases and facilities to the government of Japan, and the consolidation of some of the remaining U.S. bases and facilities in Guam. The Joint Guam Program Office continues to facilitate, manage, and execute requirements associated with the Guam Realignment. Expected construction costs for facility and infrastructure development requirements relating to the Guam realignment were initially estimated at \$10.27 billion but are expected to increase. The government of Japan agreed to provide up to \$6.09 billion.

This report identifies the programs and operations funded with appropriated amounts or funds otherwise made available for military construction on Guam in calendar year 2011.

- DoD obligated approximately \$148.0 million and expended approximately \$59.0 million. Other Federal agencies obligated approximately \$34.4 million and expended approximately \$2.2 million. (Section 1)
- DoD identified 157 projects and programs, totaling approximately \$46.1 million, with an estimated completion cost of approximately \$466.5 million. Other Federal agencies identified 18 projects and programs, totaling approximately \$2.2 million, with an estimated completion cost of approximately \$81.5 million. (Section 2)
- The government of Japan provided no revenues in calendar year 2011 and earned approximately \$2.4 million in interest associated with revenues contributed to date. (Section 3)
- DoD identified operating expenses of approximately \$21.1 million. Other Federal agencies identified operating expenses of approximately \$1.7 million. (Section 4)
- DoD identified 79 contracts and 13 grants, valued at approximately \$202.6 million. Other Federal agencies identified 3 contracts and 11 grants, valued at approximately \$36.3 million. (Section 5)

# Proposed Actions on Guam



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Andersen Air Force Base, Guam, is photographed from an F-16D Fighting Falcon during Exercise Cope North



# Background

## DEFENSE POSTURE REVIEW INITIATIVE



In 2001, President George W. Bush ordered a review of the Defense strategy and capabilities with the intent to change how the Department of Defense (DoD) conceptualized and projected the U.S. presence overseas. In response, the Secretary of Defense initiated the Global Defense Posture Review, a strategy-based reassessment of the size, location, types, and capabilities of our forward military forces.

The United States engaged the government of Japan (GOJ) to coordinate changes to the U.S. force posture in Japan and to discuss the options on how to best coordinate those changes with other force realignments in the Pacific. From 2005 through 2011, the United States engaged with the GOJ in a series of sustained security consultations under the auspices of the U.S.-Japan Security Consultative Committee. These talks, which became known as the Defense Posture Review Initiative (DPRI), were meant to improve the U.S.-Japan Security Alliance and reflect the rapidly changing global security environment. The DPRI focused on alliance transformation at the strategic and operational levels, with particular attention to the posture of U.S. and Japanese forces in Japan, as well as transforming capabilities in the Western Pacific based on the U.S. and Japanese alliance.

## U.S. MILITARY REALIGNMENT ON GUAM

The GOJ and the United States examined and identified appropriate financial and other measures to maintain the deterrent effect of forward-deployed U.S. forces and recognized the strong desire of Okinawa residents to have the U.S. presence reduced rapidly. Some measures included:

- relocating Marine aviation capabilities from Marine Corps Air Station Futenma to a new facility,
- relocating Marines and dependents from Okinawa, Japan, to Guam, and
- consolidating the remaining Marine forces in Okinawa into less land area to enable the return of valuable real estate.

The “U.S.-Japan Alliance Transformation and Realignment Agreement for the Future” resulted from these discussions between the GOJ and the United States.

On May 1, 2006, representatives from both Governments signed the “U.S.-Japan Roadmap for Realignment Implementation.” The Roadmap consists of 19 separate initiatives that deal with the realignment of U.S. forces in Japan. The Roadmap states that the United States and Japan will locate the Futenma Replacement Facility (FRF) in the Camp Schwab area of Okinawa and that approximately 8,000 III Marine Expeditionary Force personnel, and their estimated 9,000 dependents, will relocate from Okinawa to Guam.

In 2009, representatives from the Democratic Party of Japan were elected to office. These representatives asked to reopen negotiations on the May 1, 2006, agreement. The President, the Secretary of Defense, and representatives from the GOJ held meetings in September 2009 and October 2009, but they did not develop a new agreement until 2010.

## SIGNIFICANT EVENTS DURING 2011



Secretary of Defense Leon Panetta meets with Japanese Foreign Minister Koichiro Gemba in Tokyo, October 2011

Since the last report, several events occurred that could affect the realignment to Guam. In March 2011, the Department of Defense and various agencies in Guam signed a Programmatic Agreement that fulfilled the legal requirements of Section 106 of the National Historic Preservation Act of 1969. In April 2011, the Government of Guam (GoG) released its “One Guam Buildup” report, outlining approximately \$1.8 billion in projects to offset the impact of the military realignment.

During 2011, two congressional actions occurred. In May 2011, Senators Carl Levin and Jim Webb (following a trip to Guam, Tinian, and Japan) sent a letter to the Secretary of Defense that outlined their concerns with the realignment, and in December 2011, Congress passed the National Defense Authorization Act (NDAA) for 2012, which restricted appropriations for the realignment.

In June 2011, Secretary of State Hillary Rodham Clinton, Secretary of Defense Robert Gates, Minister for Foreign Affairs Takeaki Matsumoto, and Minister of Defense Toshimi Kitazawa met for the U.S.-Japan Security Consultative Committee talks to continue discussions on important decisions concerning the realignment. Additionally, in October 2011, Secretary of Defense Leon Panetta met with Japanese senior official to discuss relocation of U.S. forces in Okinawa.

**Programmatic Agreement Signed.** In March 2011, representatives from DoD, the Federal Advisory Council on Historic Preservation, the Guam State Preservation Officer, and the Commonwealth of the Northern Mariana Islands State Historic Officer signed a Programmatic Agreement. The Programmatic Agreement satisfies Section 106 requirements of the National Historic Preservation Act of 1969 for Federal agencies to consider how their efforts will affect historic properties and allow the Advisory Council on Historic Preservation an opportunity to provide input on those planned efforts.

The Programmatic Agreement encompassed three primary projects:

- relocation of approximately 8,600 Marines and their estimated 9,000 dependents,
- construction and operation of a transient nuclear aircraft carrier wharf, and
- establishment and deployment of an Army Air and Missile Defense Task Force.

In the Programmatic Agreement, DoD agreed to consult with the signatories and concurring parties to review the live-fire range location, orientation, and design; and to ensure that the public can access historical sites 24 hours a day, 7 days a week. The agreement also mandated that DoD develop a Range Mitigation Plan for all parties to review. The Range Mitigation Plan will be effective when representatives from DoD, the U.S. Marine Corps, the Advisory Council on Historic Preservation, and the State Historic Preservation Officer sign it.

Mitigation efforts to offset the impact of building a new base are an integral part of the Programmatic Agreement. As signed, the Programmatic Agreement calls for DoD to request funds to support the

construction of a 20,000-square-foot curation facility on Guam. If the Guam museum cannot provide a permanent curation facility after 5 years, DoD must also construct a Federal curation facility on DoD property. Additionally, DoD will also seek authorizations and appropriations to support construction of a curation facility on Saipan and a cultural and interpretive center on Tinian. Finally, DoD will conduct an inventory of all records to identify cultural materials removed from Guam to other locations throughout the United States and commits to return all Guam collections within DoD control to Guam for curation.

**One Guam Buildup.** On April 26, 2011, the GoG published “One Guam Buildup.” This document provided details on 43 projects, totaling approximately \$1.1 billion, that the GoG stated are necessary to support the buildup. These 43 projects are in addition to 11 projects previously submitted to the Office of Management and Budget. The 11 projects, valued at approximately \$700 million, bring the total requirements for the GoG to approximately \$1.8 billion. The GoG provided all cost data using FY 2012 dollars, but also included escalation percentages from FY 2013 through FY 2015.<sup>1</sup> The document also includes requirements for full-time government employees (such as educators, administrative personnel, and government representatives) that will have a continuing cost of more than \$26 million annually.<sup>2</sup>

**Congressional Actions.** In May 2011, following their travels to Guam, Tinian, Okinawa, and Tokyo, Senators Carl Levin and James Webb sent a letter to then Secretary of Defense, Robert Gates, outlining their concerns. The senators agreed with the general framework to rebase 8,000 Marines on Guam, but stated that it was militarily complex and potentially costly. The senators were also sensitive that the realignment would be an enormous burden on the Japanese economy because of the March 2011 earthquake and tsunami, and they noted that environmental issues on Guam were unresolved. They stated that the key issue was whether to relocate families with the 8,000 Marines or to configure the Marines as mostly deployed units rotating to Guam. The senators stated this approach would make a strong difference in the infrastructure costs for schools, recreational facilities, and housing.



U.S. Sailors raise the U.S. flag for students of Price Elementary School during a community relations project at Mangilao, Guam, February 24, 2010

In the letter, the senators also made several recommendations, including consideration of a smaller Marine headquarters on Guam with rotational forces; consideration of moving Marine aviation assets from Marine Corps Air Station Futenma to Kadena Air Force Base, Japan; and submission of the Master Plan, which was first requested in 2006.

<sup>1</sup> Escalation rates were listed as 1.085 percent for 2013, 1.102 percent for 2014, and 1.124 percent for 2015.

<sup>2</sup> Funding was requested for approximately 400 additional positions, including police and parks and recreation personnel; however, cost data was not provided.

On December 31, 2011, President Obama signed the NDAA 2012. Section 2207, (Guam Realignment) of the NDAA 2012 restricted the use of funds, stating that except as provided and notwithstanding any other provision of law, “none of the funds authorized to be appropriated under this Act, and none of the amounts provided by the Government of Japan for military construction activities on land under the jurisdiction of the Department of Defense, may be obligated to implement the realignment of United States Marine Corps forces from Okinawa to Guam as envisioned in the United States-Japan Roadmap for Realignment Implementation issued May 1, 2006, until:

- (1) the Commandant of the Marine Corps, in consultation with the Commander of the United States Pacific Command, provides the congressional defense committees the Commandant’s preferred force lay-down for the United States Pacific Command Area of Responsibility;
- (2) the Secretary of Defense submits to the congressional defense committees a master plan for the construction of facilities and infrastructure to execute the Commandant’s preferred force lay-down on Guam, including a detailed description of costs and a schedule for such construction;
- (3) the Secretary of Defense certifies to the congressional defense committees that tangible progress has been made regarding the relocation of Marine Corps Air Station Futenma;
- (4) a plan coordinated by all pertinent Federal agencies is provided to the congressional defense committees detailing descriptions of work, costs, and a schedule for completion of construction, improvements, and repairs to the non-military utilities, facilities, and infrastructure on Guam affected by the realignment of forces; and
- (5) the Secretary of Defense-
  - (A) submits to the congressional defense committees the report on the assessment of the United States force posture in East Asia and the Pacific region required under Section 346 of this Act or;
  - (B) certifies to the congressional defense committees that the deadline established under such section for the submission of such report has not been met.”

The study directed in Section 346, requires the Secretary of Defense to commission an independent assessment conducted by a non-governmental institute. The institute must have recognized credentials and expertise in national security and military affairs and access to policy experts throughout the country and region. The assessment must include the following elements:

- (1) a review of current and emerging U.S. national security interests in the U.S. Pacific Command area of responsibility,
- (2) a review of current U.S. military force posture and deployment plans of the U.S. Pacific Command,
- (3) options for the realignment of U.S. forces in the region to respond to new opportunities presented by allies and partners, and
- (4) the views of noted policy leaders and regional experts, including military commanders in the region.

The assessment is due to the congressional defense committees by June 28, 2012. Congress authorized up to \$1 million to complete the study.

One exception in the NDAA 2012 would allow the Secretary of Defense to expend funds on the realignment. The Secretary of Defense may expend funds if the funds are used to carry out additional analysis under the National Environmental Policy Act of 1969, to re-evaluate live-fire training range complex alternatives, or to evaluate the impact on coral reefs in Apra Harbor.

**Security Consultative Committee.** In June 2011, the United States and the GOJ held another round of Security Consultative Committee (SCC) talks. Secretary of State Hillary Rodham Clinton, Secretary of Defense Robert Gates, Minister for Foreign Affairs Takeaki Matsumoto, and Minister of Defense Toshimi Kitazawa attended the meeting. Also referred to as the “2+2” talks, the principals reconfirmed many of the key elements of the Agreed Implementation Plan with only minor changes. According to a State Department press release, several key issues including those listed below, were discussed.

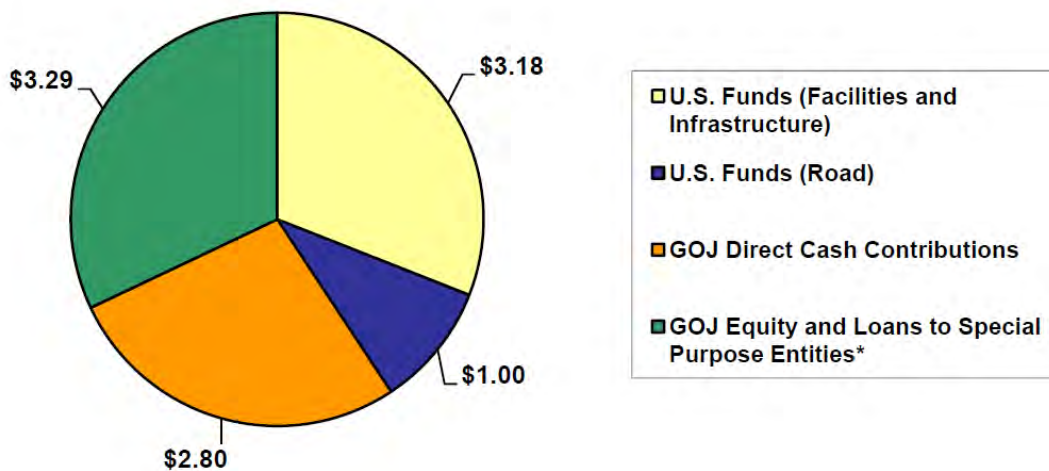
- The SCC members reconfirmed the importance of the FRF as a key element of the roadmap and confirmed its future location and design at Camp Schwab. They agreed to consider minor adjustments to the FRF plan, provided the environmental impact assessment procedures and construction could move forward without significant delays.
- Noting that completion of the FRF and the Marine relocation would not meet the previous target of 2014, the parties confirmed their commitment to completing the projects at the earliest possible date after 2014 to avoid the indefinite use of the Marine Corps Air Station Futenma.
- The SCC members also reaffirmed that the relocation of III Marine Expeditionary Force personnel and dependents from Okinawa to Guam is dependent on tangible progress being made on the FRF. The relocation to Guam will realize the consolidation and return of most of the facilities south of Kadena Air Base.
- The parties confirmed their commitment to ensuring the funding necessary for the steady implementation of the relocation in accordance with the terms and conditions of the Roadmap and the Agreed Implementation Plan is available. The United States continues to examine the unit composition of III Marine Expeditionary Force personnel remaining on Okinawa with respect to overall theater security, including deterrence, while at the same time accounting for the concerns of local communities.
- Additionally, the principals embraced the January 2011 Joint Committee Agreement on Aviation Training Relocation program, including Guam as a host location, and decided to study further options for training relocation both inside and outside of Japan.
- They also welcomed the establishment of a working group for the “Agreement on the Environment,” and accelerated consideration of an agreement on reasonable access to U.S. facilities and areas for environmental surveys before land returns.

**Secretary of Defense Visit to Japan.** In October 2011, Secretary of Defense Leon Panetta travelled to Japan to meet with Japanese Prime Minister Yoshihiko Noda, Foreign Affairs Minister Koichiro Gemba, and Defense Minister Yasuo Ichikawa to discuss, among other matters, the relocation of U.S. forces on Okinawa and the efforts to complete the environmental impact assessment required to move ahead with the plan that will significantly adjust U.S. forces on Okinawa.

## FUNDING ARRANGEMENTS

The U.S. Government and the GOJ estimated costs for facility and infrastructure development requirements relating to the realignment to be approximately \$10.27 billion (FY 2008 U.S. dollars). The GOJ agreed to provide up to \$6.09 billion of the \$10.27 billion and the U.S. Government was to fund approximately \$4.18 billion. According to the “U.S.-Japan Roadmap for Realignment Implementation,” the United States would fund the remainder of the facilities and infrastructure development costs for the relocation to Guam. Figure 1 identifies the 2006 funding arrangement between the U.S. Government and the GOJ.

**Figure 1. Original (2006) Funding Sources for Realignment (total \$10.27 billion)**  
(billions)



**Sources:** “Agreement Between the GOJ and the U.S. Government Concerning the Implementation of the Relocation of III Marine Expeditionary Force Personnel and Their Dependents from Okinawa to Guam,” February 17, 2009 and Joint Guam Program Office, “Guam Military Realignment Overview,” February 5, 2008.

# **EFFORTS OF THE INTERAGENCY COORDINATION GROUP**

**SECTION 2835(e)(1)**

Public Law 111-84, “The National Defense Authorization Act for Fiscal Year 2010,” (NDAA 2010), October 28, 2009, section 2835, designates the Inspector General, DoD, as the Chairperson of the Interagency Coordination Group of Inspectors General for Guam Realignment (ICG). Additionally, the Inspector General of the Department of the Interior (DOI) is a required member.

- **Department of Defense.** DoD’s mission is to provide the military forces needed to deter war and protect the security of our country. The DoD Office of Inspector General (DoD OIG), as well as the audit and investigative agencies within the Army, Navy, Air Force and Defense agencies, provide oversight of the Guam realignment to improve the efficiency and effectiveness of the military.
- **Department of the Interior.** DOI’s mission is to protect America’s natural resources and heritages, honor our cultures and tribal communities, and supply the energy to power our future. The DOI has administrative responsibility for coordinating Federal policy in Guam and executes that responsibility through its Office of Insular Affairs. Under the Insular Areas Act of 1982 (section 1422, title 48, United States Code), the Inspector General, DOI, serves as “government comptroller” in the U.S. insular area of Guam by conducting audits of all properties, receipts, revenues, and expenditures.

On December 14, 2011, the ICG Chairperson met with representatives from the Inspectors General from the Departments of Education (ED), Homeland Security (DHS), Transportation (DOT) and the Environmental Protection Agency (EPA) to discuss concerns and encourage each of the Federal agencies to participate in the oversight efforts.

The ICG Chairperson continues to oversee the development of a strategy to conduct, supervise, and coordinate oversight activities to monitor the use of funding for the Guam realignment. To assist with the development of this strategy, the ICG Chairperson established the Guam Interagency Planning Group (IPG). The IPG met on June 15, 2011; September 14, 2011; and December 7, 2011, to discuss developments, share information, coordinate and de-conflict agency oversight plans, and provide input for the ICG meetings.



**ICG representatives meet to discuss  
Guam realignment oversight efforts  
(December 2011)**

## **ICG OVERSIGHT DUTIES**

Section 2835 of the NDAA 2010 requires the ICG to provide objective supervision of audits and investigations, to include inspections, evaluations, and reviews, relating to the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Specifically, the ICG oversight duties are to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated for military construction on Guam.



## **SUMMARY OF AUDIT REPORTS COMPLETED IN 2011**

The Government Accountability Office (GAO) completed three reports related to the realignment of forces to Guam in addition to the 12 reports completed by members of the ICG. The DoD OIG completed six, Naval Audit Service (NAVAUDSVC) completed five, and DOT completed one report. The reports covered a variety of topics related to the realignment effort. Below is a sample of the audits completed in 2011. For a list of all reports on the Guam realignment effort, see Appendix C.

The GAO audits for 2011 focused on future cost and planning required for the realignment of forces. On April 5, 2011, GAO issued Report No. GAO 11-206, “The Navy Needs Better Documentation to Support its Proposed Military Treatment Facilities on Guam.” In this report, GAO expressed concern with the Navy’s planning for military medical treatment on Guam citing improper documentation of its health care requirements.

On May 13, 2011, GAO issued Report No. GAO 11-316, “Comprehensive Cost Information and Analysis of Alternatives Needed to Assess Military Posture in Asia.” GAO officials expressed concern with DoD transforming facilities and infrastructure without the benefit of comprehensive cost information or analysis of alternatives. The report also found that DoD is transforming its posture in Japan and Guam, without estimating total cost because of uncertainties surrounding the realignment. As a result, GAO officials recommended that DoD develop a comprehensive cost estimate of its future posture in the Pacific.

On June 27, 2011, GAO issued Report No. GAO 11-459R, “Military Build-Up on Guam Cost and Challenges in Meeting Timeliness.” In this report, GAO stated that, “delays in finalizing a master plan may lead DoD to make budget requests without reasonable assurance that funds are needed when requested.” The report also identified total cost estimates for the Guam-based, military buildup at almost \$23.9 billion, including the \$3.2 billion that the GOJ are expected to recoup over time.

The DoD OIG expressed concern with DoD’s ability to track and report obligations and expenditures for Guam and DoD’s planning for the dental care for active duty family members in Guam. On June 17, 2011, the DoD OIG issued Report No. D-2011-075, “DoD Officials Need to Improve Reporting of Obligations and Expenditures for the Guam Realignment.” The DoD OIG found that DoD officials did not accurately identify and report the 2009 obligations and expenditures for the Guam Realignment in the Annual Report to Congress because Department of the Navy personnel understated obligations and expenditures by \$7.5 million and overstated them by \$15.2 million, resulting in a total error of \$22.7 million.

On July 25, 2011, the DoD OIG issued Report No. D-2011-092, “Guam Medical Plans Do Not Ensure Active Duty Family Members Will Have Adequate Access to Dental Care.” The DoD OIG identified concerns in the Department of the Navy plans for active duty family members’ dental care in Guam stating that “poor or inadequate planning could result in a 5000-1 patient to dentist ratio because of the projected increase in the population of Guam, as a result of the realignment.”

On December 8, 2011, the NAVAUDSVC issued Report No. N2012-0008, “Consideration of Requirements for U.S. Marine Corps Training Ranges Associated with the Relocation of Marine Corps Forces to Guam.” The NAVAUDSVC found that the relocation plan did not fully address U.S. Marine Corps requirements for its planned force structures. Marine Corps representatives said that if requirements for training ranges in Guam were not fully addressed, it might affect unit cohesion and readiness of forces. The report further stated that this might force Marines in Guam to travel elsewhere

to obtain required training at additional cost. Marine Forces Pacific representatives suggested that travel for this training could cost between \$20 and \$69 million annually depending on the training location. The NAVAUDSVC reported that additional planning would be required for those training ranges that were not addressed and could adversely affect the realignment's cost and schedule.

On June 24, 2011, Deloitte LLC issued an Independent Auditors Report (DOT SA-2011-154) for the DOT in accordance with Office of Management and Budget Circular A-133 and on the schedule of expenditures of Federal awards. This report offered a qualified opinion on compliance and two findings relating DOT Federal grants in Guam. This audit is relevant to the future of the realignment because DoD will provide funding for road infrastructure in Guam. The single audit report said that the GoG did not maintain various financial reports nor did it comply with equipment and real property management requirements. Therefore, the GoG did not comply with the reporting requirements applicable to these programs. The report also stated that "the Government of Guam has not performed the required comprehensive physical inventories of its property in FY 2010 or in the two prior years." Noncompliance with applicable equipment management requirements is a repeat finding from prior DOT single audit reports in Guam. The report recommended that the Federal Highway Administration ensure the GoG complies with equipment and real property management requirements, and conducts a physical inventory of all equipment. According to a DOT representative, the GoG is still in the process of developing and implementing corrective action and developing an equipment management system that complies with applicable equipment management standards that is scheduled to be complete by September 2012.

## **ONGOING OR PLANNED AUDITS**

ICG members and the GAO are conducting six audits. The DoD OIG will publish a report on the U.S. Marine Corps transition to Joint Region Marianas and other joint basing concerns. The report will discuss implementation of the joint basing program at Pearl Harbor-Hickam, Hawaii, and Joint Region Marianas. DoD OIG is currently conducting an audit to evaluate the methodology used to determine the number and type of medical staff needed, and the plan for providing specialty care to eligible beneficiaries in Guam, based on the expected population increases resulting from the realignment in Guam. The DOI is conducting two reviews, one on the Evaluation of Guam Power Authority and the other on the Evaluation of Guam Public Safety, that will examine the extent that the Guam Police Department, Guam Fire Department, and Guam Homeland Security are able to meet the needs of the citizens of Guam and prepare for the increase in population. The NAVAUDSVC will release its fourth audit on Navy military construction associated with the realignment of forces in Guam. Also, the GAO is conducting a review of the U.S. Marine Corps realignment efforts in Japan, Okinawa, and Guam. The report will focus on the planning, cost, long-term financial commitments, status of the FRF and the transfer of Marine forces to Guam. This report will also address the impact of the natural disasters that struck Japan in 2011.

## **2012 AUDIT PLANS**

In 2011, Congress substantially reduced appropriations for the realignment of forces to Guam over previous years. Additionally, as indicated in the Security Consultative Committee talks, the realignment, while moving forward, has slowed from the original expectations of a 2014 completion date.

The Joint Explanatory Statement of the Committees on Armed Services of the U.S. Senate and House of Representatives on the National Defense Authorization Act for FY 2011 recommended that further authorizations for the construction of future projects requested to support the movement of Marines

to Guam be deferred until DoD provided Congress with key data. The key data includes an updated master plan for construction, a plan to address civilian requirements for the upgrade of infrastructure, tangible progress towards acquisition of lands on Guam required to support U.S. Marine Corps training ranges, and tangible progress made to implement a final decision concerning the FRF. As of the date of this report, DoD has not fulfilled these requirements. The NDAA 2012 reflected the sentiments of the defense committees and withheld appropriations until five criteria are met (see pages 3 and 4 under congressional actions).

While it is the intent of the ICG to provide the oversight required, due diligence requires that the oversight effort be commensurate with the funds being expended and is reflected in the realignment audit plans by members of the ICG as follows.

The DoD OIG will conduct an audit of the total cost estimate for the Guam realignment. The objective of this audit will be to examine DoD, Joint Guam Project Office, and the U.S. Marine Corps processes for developing total cost estimates for the military buildup in Guam. Specifically, the DoD OIG will review the plan, data, assumptions, risks, and methodology used to develop the total cost estimate for the Guam realignment.

## **2011 INVESTIGATIONS**

In April 2011, the Defense Criminal Investigative Service hosted a Guam Military Realignment Investigative Working Group. The purpose of the working group was to provide a forum for respective agencies to coordinate and de-conflict major fraud and corruption cases related to the Guam Realignment. Approximately 10 agencies were represented from the military criminal investigative organizations, the Inspectors General, and the Federal law enforcement community.

From January 1, 2011, through December 31, 2011, the Naval Criminal Investigative Service, and the Office of Inspectors General for the Housing and Urban Development, the Social Security Administration, and the DOI engaged in seven investigations related to programs and operations funded with amounts appropriated or otherwise made available for military realignment construction on Guam, as shown in Table 1.

**Table 1. Guam Military Realignment Investigative Working Group Investigations  
(January 1, 2011 through December 31, 2011)**

<b>Investigative Agency</b>	<b>Type of Investigation</b>	<b>Status of Investigation</b>
Housing and Urban Development	Not defined	Closed
Housing and Urban Development	Not defined	Open
Social Security Administration	Theft/Embezzlement	Closed
Social Security Administration	Misuse of Social Security Number	Closed
Naval Criminal Investigative Service	Procurement Fraud	Open
Department of Interior	Public Corruption	Open
Department of Interior	Not defined	Open



DoD OIG representative briefs key factors in Guam oversight strategy



Joint Guam Program Office representative briefs the Guam Interagency Planning Group



DoD OIG personnel in Guam receive briefing on Layon Landfill Design



Other Federal agencies' representatives participating in Interagency Planning Group

**1**

**U.S. GOVERNMENT OBLIGATIONS AND  
EXPENDITURE DATA**

**SECTION 2835(e)(1)(A)**

Public Law 111-84 states that not later than February 1 of each year, the ICG Chairperson must report on the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Each report shall include a detailed statement of all obligations and expenditures associated with such construction.

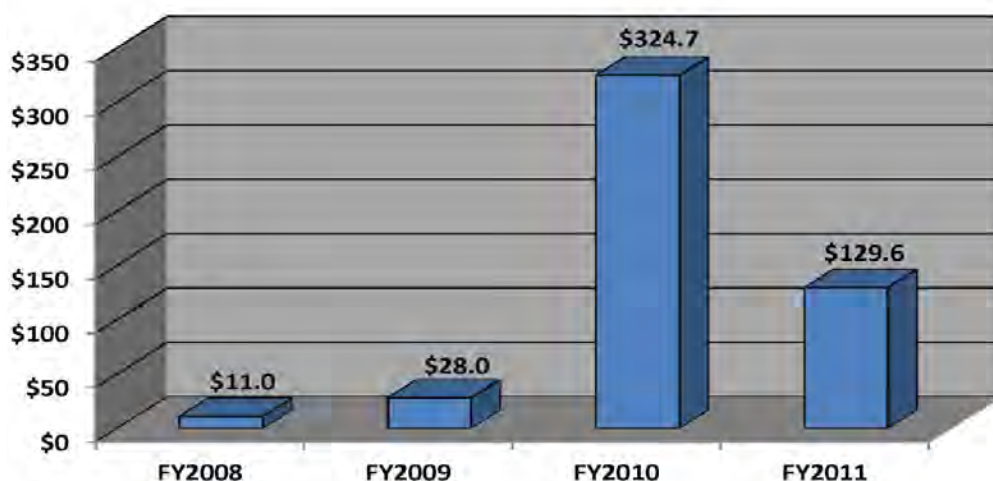
### DoD APPROPRIATION TYPES

DoD plans to fund the majority of its costs for the Guam realignment with military construction (MILCON) and Operation and Maintenance appropriations. The MILCON appropriation will be used to fund any construction, alteration, development, conversion, or extension carried out with respect to a military installation. Operation and Maintenance appropriations will be used to fund expenses whose benefits are derived for a limited period of time and are not related to military personnel or research and development.

### U.S. FUNDING FOR REALIGNMENT

Construction of new facilities, improvements to existing facilities, and improvements to infrastructure will be necessary for the movement of the U.S. Marine Corps forces to Guam. From FY 2008 through FY 2011, DoD appropriated \$11.0 million, \$28.0 million, \$324.7 million, and \$129.6 million, respectively. Figure 2 identifies the appropriated amounts for MILCON for these years.

Figure 2. Military Construction Appropriations FY 2008 - FY 2011 (millions)



Sources: Office of the Under Secretary of Defense (Comptroller/Chief Financial Officer) response provided on 1/14/2010 and 1/11/2012, and Joint Guam Program Office response provided on 1/14/2010.

## DoD OBLIGATIONS AND EXPENDITURES

The majority of MILCON and Operation and Maintenance appropriated funds are planned for obligation and expenditure in future fiscal years. The DoD OIG requested the Under Secretary of Defense (Comptroller/Chief Financial Officer); Assistant Secretary of the Army (Financial Management and Comptroller); Assistant Secretary of the Navy (Financial Management and Comptroller); Assistant Secretary of the Air Force (Financial Management and Comptroller); Director, Defense Finance and Accounting Service; and the Program Resource Director/Chief Financial Officer, Joint Guam Program Office (JGPO) to provide obligation and expenditure data supporting the U.S. Marine Corps realignment to Guam. In calendar year 2010, DoD obligated approximately \$164 million and expended approximately \$55 million in MILCON and Operation and Maintenance appropriations.

For calendar year 2011, DoD obligated approximately \$148.0 million and expended approximately \$59.0 million. Table 2 identifies the amounts obligated and expended for each appropriation from January 1, 2011, through December 31, 2011.<sup>3</sup>

**Table 2. DoD Obligations and Expenditures Related to the Guam Realignment  
(From January 1, 2011 – December 31, 2011)**

Appropriation*	Obligations	Expenditures
MILCON, Navy and Marine Corps	\$108,929,519	\$ 37,867,519
Operation and Maintenance, Navy	\$ 3,681,742	\$ 3,278,166
Operation and Maintenance, Marine Corps	\$ 34,751,377	\$ 17,390,642
Operation and Maintenance, Army	\$ -	\$ 79,691
Family Housing Operation and Maintenance, Navy and Marine Corps	\$ 655,095	\$ 344,628
<b>Total</b>	<b>\$148,017,733</b>	<b>\$ 58,960,646</b>

**Sources:** Naval Facilities Engineering Command Headquarters response to DoD OIG data call 1/6/2012; Joint Guam Program Office response to DoD OIG data call, 1/6/2012; U.S. Marine Corps response to DoD OIG data call, 1/6/2012 and 1/18/2012; and the Department of the Army response to DoD OIG data call, 1/6/2012.

<sup>3</sup> The Department of the Air Force did not report obligations or expenditures supporting the Guam realignment during 2011.

## OTHER GOVERNMENT AGENCIES

For calendar year 2011, the Department of Commerce (DOC) reported total obligations of \$255,707 and total expenditures of \$264,050 related to programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam.

From January 1, 2011, through December 31, 2011, the Department of Labor (DOL) identified obligations or expenditures related to programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. The DOL identified total obligations of \$33,608; of this amount, it expended a total of \$33,608.

In calendar year 2011, DOT obligated approximately \$34.1 million and expended approximately \$1.9 million related to programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Table 3 shows other government agency obligations and expenditures.

**Table 3. Other Government Agency Obligations and Expenditures  
Related to the Guam Realignment  
(From January 1, 2011 - December 31, 2011)**

Agency	Obligations	Expenditures
DOC	\$ 255,707	\$ 264,050
DOL	\$ 33,608	\$ 33,608
DOT	\$ 34,095,351	\$ 1,905,378
<b>Total</b>	<b>\$ 34,384,666</b>	<b>\$ 2,203,036</b>

Sources: DOC response to DoD OIG data call, 1/4/2012; DOL response to DoD OIG data call, 1/5/2012; and DOT response to DoD OIG data call, 1/6/2012.



**2**

# **PROJECT AND PROGRAM COSTS**

**SECTION 2835(e)(1)(B)**

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the costs incurred to date and costs estimated to complete each DoD or DOI military construction project or program. The report must identify all projects and programs associated with the realignment of military installations and the relocation of military personnel to Guam.

## RECORDING AND APPROVAL OF DoD PROJECTS



U.S. Sailors and contractors participate in the groundbreaking ceremony for a training center, a headquarters facility, and an exercise support facility at Polaris Point, Guam, July 2010

DoD Regulation 7000.14-R, “Financial Management Regulation,” requires a narrative justification and validation for each military construction project. DoD uses the DD Form 1391 to provide justification for U.S.-funded military construction projects. When the DD Form 1391 is approved, DoD includes the military construction project within its budget justification, which becomes part of the President’s annual budget. The DD Form 1391 is also used to request funds from the GOJ. Naval Facilities Engineering Command (NAVFAC) Pacific assists in the preparation of the DD Forms 1391 and Headquarters, U.S. Marine Corps, verifies the scope requirements of each project before submission to the GOJ for approval.

In calendar year 2010, NAVFAC, U.S. Marine Corps, and the Assistant Secretary of the Army (Financial Management and Comptroller) identified 146 projects that received funds and were directly associated with the realignment

of military installations and military personnel to Guam. These projects incurred costs of approximately \$44.5 million with an estimated completion cost of approximately \$149.7 million.

## DoD AND GOJ PROJECT AND PROGRAM LIST

For calendar year 2011, NAVFAC Headquarters reported 45 projects, and the U.S. Marines Corps reported 112 projects that were directly associated with the realignment of military installations and military personnel to Guam. These projects incurred costs of approximately \$46.1 million with an estimated completion cost of approximately \$466.5 million. Table 4 identifies the DoD and GOJ projects and programs and their associated costs.

Table 4. Costs for DoD and GOJ Projects and Programs

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
J001, GOJ CONSTRUCTION; UTILITIES & SITE IMPROVE, PH 1 (APRA HARBOR)	UTIL & SITE IMPRV, PH 1 (APRA HARBOR)	11-Aug-11	\$169,000,000	\$ 538,601	\$ 538,601	\$168,461,399
J001A, GOJ CONSTRUCTION; UTILITIES & SITE IMPROVE, PH 1 (AAFB)	UTIL & SITE IMPRV, PH 1 (AAFB)	11-Aug-11	\$ 27,000,000	\$ 173,100	\$ 173,100	\$ 26,826,900
J006, GOJ DESIGN, APRA MEDICAL CLINIC	APRA MEDICAL CLINIC (APR HARBOR)	18-Feb-10	\$ 2,977,923	\$ 2,794,413	\$ 289,136	\$ 183,510
J007, GOJ DESIGN, WATERFRONT HEADQUARTERS BUILDING	WATERFRONT HEADQUARTERS BUILDING	11-Jan-10	\$ 2,047,463	\$ 1,944,938	\$ 124,352	\$ 102,525
J008, GOJ DESIGN, FIRE STATION	FIRE STATION (FINEGAYAN)	11-Jan-10	\$ 1,808,420	\$ 915,727	\$ 14,243	\$ 892,693
J010, GOJ DESIGN, BACHELOR ENLISTED QUARTERS COMPLEX	BEQ COMPLEX	27-Feb-10	\$ 3,423,815	\$ 2,910,230	\$ 660,974	\$ 513,585
100; CONSTRUCTION; NORTH RAMP UTILITIES	DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	6-Apr-11	\$ 21,000,000	\$ 737,067	\$ 737,067	\$ 20,262,933
1003; CONSTRUCTION; MILITARY WORKING DOG	MILITARY WORKING DOG RELOC, APRA HRBR	29-Sep-10	\$ 13,000,000	\$ 1,677,573	\$ 1,677,573	\$ 11,322,427
1006; CONSTRUCTION; DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	DAR/DPRI-DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	13-Jul-11	\$ 7,825,000	\$ 24,405	\$ 24,405	\$ 7,800,595
101; CONSTRUCTION; NORTH RAMP PARKING	DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	6-Apr-11	\$ 88,000,000	\$ 6,072,725	\$ 6,072,725	\$ 81,927,275
204; CONSTRUCTION, APRA HARBOR WHARF IMPROVEMENT	APRA HARBOR WHARF IMPRV, INC 1 OF 2	30-Sep-10	\$125,000,000	\$ 23,185,054	\$ 23,151,385	\$101,814,946
010; DESIGN, PDM IV MARINE CORPS MILCON	PDM IV MARINE CORPS MILCON	15-Apr-08	\$ 2,236,395	\$ 2,236,395	\$ 599,073	\$ -
100; DESIGN, DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	DPRI-NORTH RAMP UTILITIES, INC 1 (AAFB)	3-Dec-09	\$ 1,221,042	\$ 1,221,042	\$ 564	\$ -
1003; DESIGN, DPRI-MILITARY WORKING DOG RELOC, APRA HARBOR	DPRI-MILITARY WORKING DOG RELOC, APRA HRBR	25-Oct-08	\$ 1,539,216	\$ 1,539,216	\$ 2,690	\$ -
100A; DESIGN, NORTH RAMP UTILITIES	DPRI-NORTH RAMP UTILITIES INC 2 OF 2	15-Jan-11	\$ 742,865	\$ 726,112	\$ 726,112	\$ 16,753

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
101; DESIGN, DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	DPRI-NORTH RAMP PARKING, INC 1 (AAFB)	3-Dec-09	\$ 2,196,718	\$ 2,186,628	\$ 201,849	\$ 10,090
109; DESIGN, DPRI-AIRCRAFT MAINTENANCE HANGAR (NORTH RAMP)	DPRI-AIRCRAFT MAINTENANCE HANGAR (NORTH RAMP)	14-Mar-09	\$ 240,040	\$ 240,040	\$ 106,135	\$ -
110; DESIGN, DPRI-SITE PREP AND UTILITIES, PHASE 1 (FINEGAYAN)	DPRI-SITE PREP AND UTILITIES, PHASE 1 (FINEGAYAN)	30-Sep-09	\$ 6,689,014	\$ 6,266,919	\$ 622,768	\$ 422,095
111; DESIGN, DPRI-APRA HARBOR VICTOR WHARF IMPR	DPRI-APRA HARBOR VICTOR WHARF IMPR	25-Nov-09	\$ 1,251,286	\$ 1,251,286	\$ -	\$ -
113; DESIGN, DPRI-FINEGAYAN SITE PREP AND UTILITIES - PHASE 2	DPRI-FINEGAYAN SITE PREP AND UTILITIES - PHASE 2	13-Feb-10	\$ 989,645	\$ 491,128	\$ 369,868	\$ 498,517
2006; DESIGN, DPRI-APRA HARBOR EMBARK FACILITIES (AGANA)	DPRI-APRA HARBOR EMBARK FACILITIES (AGANA)	30-Jan-10	\$ 509,003	\$ 509,003	\$ 4,752	\$ -
2014; BASE COMMUNICATIONS CENTER	DPRI-BASE COMMUNICATIONS CENTER	9-Nov-10	\$ 288,633	\$ 288,633	\$ 288,633	\$ -
204; DESIGN, DPRI-APRA HARBOR WHARF IMPRV, INC 1 OF 2	DPRI-APRA HARBOR WHARF IMPRV, INC 1 OF 2	14-Feb-09	\$ 6,395,069	\$ 6,395,069	\$ 381,598	\$ -
2048; DESIGN, DPRI-FINEGAYAN WATER UTILITIES	DPRI-FINEGAYAN WATER UTILITIES	28-Jan-11	\$ 709,085	\$ 671,062	\$ 671,062	\$ 38,023
205; DESIGN, DPRI-DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	DPRI-DEFENSE ACCESS ROAD IMPROVEMENTS (AGANA)	27-Jun-11	\$ 10,092	\$ 10,092	\$ 10,092	\$ -
598; DESIGN, DPRI-TRAINING LAND ACQUISITION (RT 15 ANDY SOUTH)	DPRI-TRAINING LAND ACQUISITION (RT 15) (ANDY SOUTH)	28-Mar-11	\$ 79,698	\$ 79,698	\$ 79,698	\$ -
599; DESIGN, DPRI-COMBAT LOGISTIC COMPANY FACILITIES (FINEGAYAN)	DPRI-COMBAT LOGISTIC COMPANY FACILITIES (FINEGAYAN)	19-Dec-09	\$ 408,324	\$ 408,324	\$ -	\$ -
600; DESIGN, DPRI DAR ROAD REALIGNMENT RT 15	DPRI-DAR ROAD REALIGNMENT, ROUTE 15	27-Jun-11	\$ 8,347	\$ 6,347	\$ 6,347	\$ 2,000
601; DESIGN, DPRI-AVIATION FACILITIES AT NORTH RAMP	DPRI-AVIATION FACILITIES AT NORTH RAMP	19-Dec-09	\$ 271,521	\$ 271,521	\$ 12,471	\$ -

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
602; DESIGN, DPRI-III MARINE EXPEDITIONARY FORCE HQ (FINEGAYAN)	DPRI-III MARINE EXPEDITIONARY FORCE HQ (FINEGAYAN)	13-Feb-10	\$ 465,938	\$ 465,938	\$ 40,616	\$ -
603; DESIGN, DPRI-FINEGAYAN SITE PREP AND UTILITIES - PH 3	DPRI-FINEGAYAN SITE PREP AND UTILITIES - PH 3	30-Jan-10	\$ 104,840	\$ 104,840	\$ -	\$ -
604; DESIGN, DPRI-OPERATIONS AND ARMORY FACILITIES (FINEGAYAN)	DPRI-OPERATIONS AND ARMORY FACILITIES (FINEGAYAN)	13-Feb-10	\$ 551,162	\$ 551,162	\$ 489,787	\$ -
606; DESIGN, DPRI-CORROSION CONTROL FACILITY (FINEGAYAN)	DPRI-CORROSION CONTROL FACILITY (FINEGAYAN)	19-Dec-09	\$ 404,195	\$ 404,195	\$ 36,378	\$ -
700; DESIGN, DPRI-INDOOR MULTIPURPOSE RANGE	DPRI-INDOOR MULTIPURPOSE RANGE	27-Oct-10	\$ 78,868	\$ 78,868	\$ 76,063	\$ -
705; DESIGN, DPRI-GAS CHAMBER	DPRI-GAS CHAMBER	27-Oct-10	\$ 34,251	\$ 34,251	\$ 34,251	\$ -
710; DESIGN, DPRI-ENGINEERING PIT	DPRI-ENGINEERING PIT	27-Oct-10	\$ 55,183	\$ 55,183	\$ 55,183	\$ -
J001X; DESIGN; UTILITIES AND SITE IMPROVEMENTS - PH 1	UTILITIES AND SITE IMPROVEMENTS - PH 1	14-Feb-09	\$ 8,543,940	\$ 8,543,940	\$ 210,459	\$ -
J006X DESIGN, APRA MEDICAL CLINIC	APRA MEDICAL CLINIC (APR HARBOR)	11-Apr-09	\$ 940,473	\$ 940,473	\$ -	\$ -
J007X DESIGN, WATERFRONT HEADQUARTERS BLDG	WATERFRONT HEADQUARTERS BUILDING	11-Apr-09	\$ 899,842	\$ 899,842	\$ -	\$ -
J008X DESIGN, FIRE STATION	FIRE STATION (FINEGAYAN)	25-Apr-09	\$ 865,658	\$ 865,658	\$ -	\$ -
J011; DESIGN, BASE ADMINISTRATIVE BUILDING (FINEGAYAN)	BASE ADMINISTRATIVE BUILDING (FINEGAYAN)	10-Apr-10	\$ 903,876	\$ 903,876	\$ 58,513	\$ -
J012; DESIGN, MARINE LOGISTICS GROUP (MLG) HQ BUILDING	MARINE LOGISTICS GROUP (MLG) HQ BUILDING	10-Apr-10	\$ 918,439	\$ 918,439	\$ 37,708	\$ -
J015; DESIGN; ENLISTED DINING FACILITY	ENLISTED DINING FACILITY	10-Apr-10	\$ 863,297	\$ 863,297	\$ 35,421	\$ -
J016; DESIGN; BACHELORS ENLISTED QUARTERS COMPLEX	BACHELORS ENLISTED QUARTERS COMPLEX	10-Apr-10	\$ 748,298	\$ 748,298	\$ 7,110	\$ -
J017; DESIGN, UTILITIES AND SITE IMPROVEMENTS - PH 2	UTILITIES AND SITE IMPROVEMENTS - PH 2	4-Jul-09	\$ 6,496,001	\$ 6,417,273	\$ 1,039,163	\$ 78,728

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
E-0109LA	NAVAL MUNITIONS SITE ALTS SEIS	23-Dec-11	\$ 1,428,124	\$ -	\$ -	\$ 1,428,124
E-0109LB	NEPA SCOPING SEIS	23-Dec-11	\$ 397,052	\$ -	\$ -	\$ 397,052
E-0109LC	WATERSHED RECON STUDIES SEIS	23-Dec-11	\$ 162,344	\$ -	\$ -	\$ 162,344
E-0109LD	LFTRC SEIS	23-Dec-11	\$ 516,641	\$ -	\$ -	\$ 516,641
E-0109VE	TRAINING RANGE ALTS SEIS	23-Dec-11	\$ 1,589,514	\$ -	\$ -	\$ 1,589,514
E-0109LQ	SEIS	23-Dec-11	\$ 20,533	\$ -	\$ -	\$ 20,533
D-0013	D-0013 UPDATE TO FHWA GUAM BUILD-UP TRAFFIC STUDY	18-Jun-10	\$ 56,587	\$ 51,161	\$ -	\$ 5,426
D-0014	D-0014 BLDG 207/228/155 RENOVATION PROJECT	16-Sep-10	\$ 4,399,302	\$ 45,312	\$ 17,359	\$ 4,353,990
D-0016	D-0016 COMPREHENSIVE BASE DEV STUDY, ANDERSEN AFB	18-Jun-10	\$ 1,136,758	\$ 89,445	\$ -	\$ 1,047,313
E-0004	E-0004 DRAFT EIS	12-Jun-09	\$ 4,521,000	\$ -	\$ -	\$ 4,521,000
E-0005	E-0005 FINAL EIS SUPPORT	17-Mar-10	\$ 1,932,139	\$ 1,923,876	\$ -	\$ 8,263
E-0008	E-0008 ON-BASE TRAFFIC ANALYSIS	20-Aug-09	\$ 275,262	\$ 223,570	\$ -	\$ 51,692
E-0009	E-0009 WATER STUDY	28-May-09	\$ 13,081	\$ 13,081	\$ -	\$ -
E-0011	E-0011 ELECTRICAL STUDY	23-Jul-09	\$ 40,713	\$ 40,713	\$ -	\$ -
E-0012	E-0012 SOLID WASTE MANAGEMENT PLAN (IHS)	17-Mar-10	\$ 20,000	\$ 20,000	\$ -	\$ -
E-0016	E-0016 NATURAL RESOURCE STUDY	23-Jul-09	\$ 367,066	\$ 268,640	\$ -	\$ 98,426
E-0017	CULTURAL/HISTORIC RESOURCES GUAM	27-Jul-11	\$ 137,624	\$ 137,624	\$ -	\$ -
E-0017	E-0017 CULTURAL HISTORICAL RESOURCE STUDY	11-Jun-09	\$ 292,712	\$ 263,058	\$ -	\$ 29,654

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
E-0025	E-0025 CNMI NATURAL RESOURCE SURVEY	10-Apr-09	\$ 7,011,986	\$ 4,541,892	\$ -	\$ 2,470,094
E-0031	E-0031 ADMINISTRATIVE RECORD REVIEW	6-Aug-09	\$ 1,170,455	\$ 810,619	\$ 69,586	\$ 359,836
E-0037	E-0037 CULTURAL RESOURCES STUDY	13-May-10	\$ 4,959	\$ 4,959	\$ -	\$ -
E-0039	E-0039 CULTURAL LANDSCAPE REPORT, TINIAN N. FIELD	13-May-10	\$ 19,965	\$ 19,965	\$ -	\$ -
E-0040	E-0040 SOCIO ECONOMIC STUDY GUAM BUILDUP	6-May-11	\$ 340,024	\$ 24,713	\$ 24,713	\$ 315,311
E-0041	E-0041 FINEGAYAN	13-May-10	\$ 10,375	\$ 10,375	\$ -	\$ -
E-0044	E-0044 UTILITY STUDY, WATER (LOST RIVER)	13-May-10	\$ 13,288	\$ 13,288	\$ -	\$ A/E
E-0045	E-0045 BIO SECURITY PLAN	17-Oct-11	\$ 2,604,041	\$ 2,261,833	\$ -	\$ 342,208
E-0046	E-0046 UTILITY STUDY NEW WELLS	28-Feb-09	\$ 1,987,887	\$ 1,527,310	\$ -	\$ 460,577
E-0047	E-0047 AIR IMPACT STUDY	28-May-09	\$ 175,708	\$ 164,199	\$ -	\$ 11,509
E-0048	E-0048 APRA HARBOR LANDFILL GROUNDWATER STUDY	28-Feb-09	\$ 88,785	\$ 69,479	\$ -	\$ 19,306
E-0049	E-0049 AQUIFER SUSTAINABLE YIELD	28-Feb-09	\$ 22,948	\$ 22,948	\$ -	\$ -
E-0050	E-0050 CYCLING DEMOLITION (C&D) RECYCLING STUDY	29-Dec-09	\$ 491,998	\$ 488,606	\$ -	\$ 3,392
E-0051	E-0051 ARCHAEOLOGICAL CURATION	24-Jul-09	\$ 313,466	\$ 278,695	\$ -	\$ 34,771
E-0052	E-0052 HAUL ROADS	28-Feb-09	\$ 5,931,987	\$ 5,248,093	\$ -	\$ 683,894
E-0053	E-0053 SPECIAL AIRSPACE FEASIBILITY STUDY	24-Jul-09	\$ 729,048	\$ 481,327	\$ -	\$ 247,721
E-0054	E-0054 BATS AND SNAILS	23-Jul-09	\$ 70,227	\$ 70,227	\$ -	\$ -
E-0056	E-0056 MOD ALT 2	23-Jul-09	\$ 219,970	\$ 219,970	\$ -	\$ -

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
E-0057	E-0057 ADAPTIVE MANAGEMENT	23-Jul-09	\$ 223,338	\$ 223,338	\$ -	\$ -
E-0060	E-0060 TINIAN TRAINING RANGES EIS	17-Aug-09	\$ 100,237	\$ 100,237	\$ -	\$ -
E-0061	E-0061 LEED SUSTAINABILITY STUDY	17-Aug-09	\$ 724,258	\$ 724,258	\$ -	\$ -
E-0063	E-0063 DRAINAGE LOW IMPACT DEVELOPMENT	20-Aug-09	\$ 518,592	\$ 518,592	\$ -	\$ -
E-0064	E-0064 PAPER WASP ATTRACTANT STUDY	1-Sep-10	\$ 90,886	\$ -	\$ -	\$ 90,886
E-0066	E-0066 ON-BASE UTILITY STUDY	24-Sep-09	\$ 69,797	\$ 69,797	\$ -	\$ -
E-0067	E-0067 WETLANDS DELINEATION STUDY	29-Dec-09	\$ 195,212	\$ 180,855	\$ -	\$ 14,357
E-0069	GROUND WATER STUDY	22-Dec-11	\$ 1,332,492	\$ 1,052,492	\$ 273,658	\$ 280,000
E-0070	E-0070 EMISSIONS CALCULATIONS MSAT	13-May-10	\$ 25,745	\$ 25,745	\$ -	\$ -
E-0071	E-0071 NORTHERN DISTRICT WASTE WATER TREATMENT PLANT (NDWTP) DIFFUSER STUDY	13-May-10	\$ 1,241	\$ 1,241	\$ -	\$ -
E-0077	E-0077 PUBLIC COMMENT ASSISTANCE - PART I	18-Feb-10	\$ 365,921	\$ 365,921	\$ -	\$ -
E-0079	E-0079 SEWER INTERCEPTOR	10-Apr-09	\$ 632,899	\$ 632,899	\$ -	\$ -
E-0083	E-0083 EIS TIGER TEAM ADDITIONAL SPT	19-Feb-10	\$ 479,135	\$ 479,135	\$ -	\$ 0
E-0087	ARCH MITIGATION	20-Oct-11	\$ 885,959	\$ 81,198	\$ 1,156	\$ 804,761
E-0089	E-0089 WWTP UPGRADE	13-May-10	\$ 2,441	\$ 2,441	\$ -	\$ -
E-0090	E-0090 BARRIGADA WATER UTILITY STUDY	31-Mar-10	\$ 29,211	\$ 29,211	\$ -	\$ 0
E-0091	E-0091 POWER UTILITY STUDY UPDATE	21-May-09	\$ 39,484	\$ 24,137	\$ -	\$ 15,347



Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
E-0092	UTILITY TECH UPDATE ADMIN RECORD	17-Jun-11	\$ 89,799	\$ 45,832	\$ 37,693	\$ 43,967
E-0093	E-0093 WASTE WATER UTILITY UPDATE	31-Mar-10	\$ 45,796	\$ 45,796	\$ -	\$ -
E-0095	E-0095 INTEGRATED SOLID WASTE MANAGEMENT PLAN (ISWMP)	4-Feb-10	\$ 160,339	\$ 20,504	\$ 9,416	\$ 139,835
E-0096	E-0096 COASTAL CONSISTENCY DETERMINATION	15-Jul-10	\$ 19,956	\$ 19,879	\$ -	\$ 77
E-0098	E-0098 REVISED HARDCOPIES OF FEIS	20-Aug-10	\$ 22,188	\$ 22,188	\$ -	\$ -
E-0099	E-0099 GUAM WELLHEAD PROTECTION REGULATIONS	15-Sep-10	\$ 37,591	\$ 33,560	\$ -	\$ 4,031
E-0100	E-0100 FINEGAYAN LANDFILL NO 2 SITE INVESTIGATION	16-Sep-10	\$ 461,505	\$ 5,262	\$ 166	\$ 456,243
E-0101	E-0101 FOLLOW-ON NEPA TERRESTRIAL MITIGATION	23-Dec-10	\$ 227,250	\$ 45,943	\$ -	\$ 181,307
E-0102	E-0102 CULTURAL RESOURCES CONSULTATION AND MITIGATION	28-Oct-10	\$ 274,460	\$ 98,821	\$ -	\$ 175,639
E-0103	ROD LITIGATION	17-Oct-11	\$ 110,000	\$ 98,220	\$ 83,220	\$ 11,780
E-0104	E-0104 FOLLOW-ON NEPA TERRESTRIAL MITIGATION	25-Oct-10	\$ 246,888	\$ 114,205	\$ 49,777	\$ 132,683
E-0105	E-0105 PESTICIDE SOIL IMPACTED MANAGEMENT PLAN	25-Oct-10	\$ 64,752	\$ 1,820	\$ 1,820	\$ 62,932
E-0106	SUPPORT SIR PREPARATION	20-May-11	\$ 71,480	\$ 66,965	\$ 66,965	\$ 4,515
J-0023	J-0023 BOQ 1391S	6-Apr-10	\$ 295,614	\$ 295,614	\$ -	\$ -

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
J-0025	J-0025 FINEGAYAN MED/DENTAL CLINIC 1391S	6-Apr-10	\$ 259,539	\$ 259,539	\$ -	\$ -
J-002X	J-002X ADMIN FACILITIES 1391S	6-Apr-10	\$ 683,408	\$ 683,408	\$ -	\$ -
N/A	DUGONG LITIGATION	31-Mar-11	\$ 10,609	\$ 10,609	\$ 10,609	\$ -
N-0038	N-0038 ACOUSTIC MONITORING	25-Oct-10	\$ 11,206	\$ 425	\$ -	\$ 10,781
P-0001	P-0001 MASTER PLAN, PHASE II (IHS)	17-Mar-10	\$ 2,058,820	\$ 719,165	\$ -	\$ 1,339,655
P-0004	P-0004 PROJECT DOCUMENTATION	30-Sep-09	\$ 1,422,000	\$ 432,251	\$ -	\$ 989,749
P-0015	P-0015 MASTER PLAN PHASE II	3-Sep-09	\$ 1,001,000	\$ 904,702	\$ -	\$ 96,298
P-0001B	MASTER PLAN PHASE III	5-Aug-11	\$ 2,765,240	\$ 398,250	\$ 265,500	\$ 2,366,990
P-0030	P-0030 TINIAN MP PHASE II	23-Jul-09	\$ 1,093,791	\$ 777,495	\$ -	\$ 316,296
P-0044	P-0044 SPE CONSULTANT	6-Feb-09	\$ 198,020	\$ 198,020	\$ -	\$ -
P-0046	P-0046 SPE CONSTRUCT	7-Jan-09	\$ 4,669,637	\$ 3,662,278	\$ 597,878	\$ 1,007,359
P-0050	P-0050 HISTORICAL ORDNANCE	1-Jul-09	\$ 108,447	\$ 91,638	\$ -	\$ 16,809
P-0054	P-0054 BARRIGADA STUDY	4-May-09	\$ 388,367	\$ 388,367	\$ -	\$ -
P-0055	MASTER PLAN ALTS 1,3,8	23-Aug-11	\$ 237,817	\$ 99,817	\$ -	\$ 138,000
P-0057	P-0057 TRAFFIC STUDY	24-Jul-09	\$ 215,392	\$ 141,193	\$ -	\$ 74,199
P-0059	P-0059 COMBUSTION TURBINE STUDY	19-Feb-10	\$ 410,643	\$ 281,251	\$ -	\$ 129,392
P-0060	EXPLOSIVE SAFETY	8-Apr-11	\$ 399,958	\$ 213,533	\$ H7,469	\$ 186,425
P-0062	P-0062 ATFP BLAST ANALYSIS	6-Apr-10	\$ 106,937	\$ 106,937	\$ -	\$ -
P-0063	P-0063 TINIAN PAGAN TOPOGRAPHIC CONTOUR DATA	25-Mar-10	\$ 288,549	\$ 44,281	\$ -	\$ 244,268
P-0064	P-0064 GUAM COMMUNITY INTEGRATED MASTER PLAN	20-Aug-10	\$ 861,722	\$ 97,209	\$ -	\$ 764,513

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
P-0065	P-0065 FACILITY MANAGEMENT SUSTAINMENT (FMS) WORK PLAN	11-Mar-11	\$ 92,640	\$ 83,771	\$ 83,771	\$ 8,869
P-0066	P-0066 UTILITY ENERGY MANAGEMENT (UEM) WORK PLAN	11-Mar-11	\$ 145,307	\$ 41,647	\$ 41,647	\$ 103,660
P-0075	P-0075 ALTERNATIVE LAYDOWN PLANS	5-Aug-11	\$ 2,765,240	\$ -	\$ -	\$ 2,765,240
R-0001	R-0001 APPRAISAL GUAM (LESS HARMON)	3-Sep-09	\$ 560,455	\$ 163,453	\$ -	\$ 397,002
R-0003	R-0003 BOUNDARY SURVEY (FAA PARCEL)	24-Jul-09	\$ 862,713	\$ 444,850	\$ -	\$ 417,863
R-0005	R-0005 TITLE EVIDENCE (HIS)	18-Mar-10	\$ 14,607	\$ 14,300	\$ -	\$ 307
R-0007	R-0007 ENVIRONMENTAL BASELINE STUDY	7-May-09	\$ 295,233	\$ 165,350	\$ -	\$ 129,883
R-0008	R-0008 ENVIRONMENTAL SITE ASSESSMENT - ROUTE 15	25-Oct-10	\$ 47,082	\$ 10,956	\$ -	\$ 36,126
R0009	RELOCATION ASSISTANCE PLAN	22-Sep-11	\$ 1,449,892	\$ 1,449,892	\$ -	\$ -
R-0009	RELOCATION ASSISTANCE	13-Nov-09	\$ 1,576,340	\$ 50,757	\$ -	\$ 1,525,583
R-0011	R-0011 FINDING OF SUITABILITY, GUAM	17-Mar-10	\$ 41,750	\$ 8,141	\$ -	\$ 33,609
R-0013	R-0013 ENVIRONMENTAL BASELINE SPE	22-Mar-10	\$ 457,794	\$ 175,663	\$ 4,953	\$ 282,131
S-0004	S-0004 NAVFAC HQ LABOR SUPPORT	24-Mar-09	\$ 779,008	\$ 339,932	\$ -	\$ 439,076
S-0005	S-0005 NAVFACPAC LABOR SUPPORT	24-Feb-09	\$ 8,503,564	\$ 7,413,865	\$ 4,529,500	\$ 1,089,699
S-0006	S-0006 NAVFACMAR LABOR SUPPORT	26-Jan-10	\$ 3,749,630	\$ 2,105,629	\$ -	\$ 1,644,001
S-0010	S-0010 PROGRAM SUPPORT CONTRACT	10-Sep-09	\$ 3,039,142	\$ 1,701,375	\$ -	\$ 1,337,767
S-0012	S-0012 PIB IPR FACILITATION	26-Feb-10	\$ 156,600	\$ 156,600	\$ -	\$ -

Project	Description/Scope	Start Date	Total Estimated Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
S-0014	S-0014 GPMO NAVFAC PAC SPAWAR C4ISR/IT LIAISON	7-Mar-11	\$ 280,025	\$ 177,447	\$ 177,447	\$ 102,578
S-0015	GUAM PROGRAM SUPPORT	29-Sep-11	\$ 3,414,142	\$ 5,324	\$ -	\$ 3,408,818
S-0016	S-0016 NAVFAC PAC JV MANAGEMENT SUPPORT	28-Sep-10	\$ 1,283,479	\$ 261,494	\$ -	\$ 1,021,985
U-0001	U-0001 NORTHERN DISTRICT WASTE WATER TREATMENT PLANT (NDWWTP)	23-Jul-09	\$ 299,195	\$ 249,386	\$ 3,631	\$ 49,809
U-0002	U-0002 INCREASE PUMP RATE, MARBO WELLS 5-9	25-Sep-10	\$ 1,228,387	\$ 244,407	\$ -	\$ 983,980
U-0003	U-0003 TUMON MAUI WELL REHABILITATION	16-Sep-10	\$ 353,190	\$ 98,127	\$ -	\$ 255,063
U-0004	U-0004 COMBUSTION TURBINES (CTS) PERMIT AIR MONITORING	11-Mar-11	\$ 24,887	\$ 24,887	\$ 24,887	\$ -
U-0005	TUMON MAUI WELL REHAB	26-Sep-11	\$ 4,521,000	\$ 4,521,000	\$ -	\$ -
<b>Total</b>			<b>\$609,233,312</b>	<b>\$142,747,583</b>	<b>\$ 46,080,745</b>	<b>\$466,485,729</b>

Sources: NAVFAC Headquarters response to DoD OIG data call, 1/6/2012 and U.S. Marine Corps response to DoD OIG data call, 1/18/2012.

## OTHER FEDERAL AGENCY PROJECT AND PROGRAM LIST

In calendar year 2010, the DOC and DOT reported projects that were directly associated with the realignment. The scope of the DOC projects included National Environmental Policy Act documents, and the scope of the DOT projects included a Modernization Expansion Program. These projects incurred costs of approximately \$3.0 million with an estimated completion cost of approximately \$50.1 million.

In calendar year 2011, the DOC and DOT identified funds directly associated with the realignment of military installations and military personnel to Guam. Specifically, these agencies reported a total of 18 projects that were directly associated with the realignment. The DOC projects incurred costs of approximately \$24,407 with an estimated completion cost of \$14,882. The DOT projects incurred costs of approximately \$2.2 million with an estimated completion cost of approximately \$81.5 million. Table 5 identifies DOC and DOT projects and associated costs for calendar year 2011.

**Table 5. Costs for Other Federal Agency Projects and Programs**

Agency	Project	Description/Scope	Start Date	Total Budgeted Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
DOC	*	GUAM ADAPTIVE MANAGEMENT TRAINING	4/4/2011	\$ 3,063	\$ -	\$ 3,063	\$ 3,063
DOC	*	CIVILIAN-MILITARY COORDINATION COUNCIL	5/15/2011	\$ 2,767	\$ -	\$ 2,767	\$ 2,767
DOC	*	APM TRAINING & INTERCT WITH GOV OF GUAM & FEDERAL PARTNERS	4/2/2011	\$ 4,106	\$ -	\$ 4,106	\$ 4,106
DOC	*	GUAM ADAPTIVE MANAGEMENT TRAINING	3/6/2011	\$ 4,946	\$ -	\$ 4,946	\$ 4,946
DOC	TA#2FT5S2001	HEA WORKSHOP, FEDERAL COORDINATION MEETING, ERDC WORKSHOP, SCIENCE STRATEGY SESSION	10/31/11-11/10/11	\$ 4,568	\$ -	\$ 4,568	\$ -
DOC	TA#2FT5S2002	HEA WORKSHOP, FEDERAL COORDINATION MEETING, ERDC WORKSHOP, SCIENCE STRATEGY SESSION	10/31/11-11/14/11	\$ 4,957	\$ -	\$ 4,957	\$ -
DOT	MARAD - PAG MODERNIZATION PROJECT	ENVIRONMENTAL DOCUMENTATION AND PRELIMINARY DESIGN	8/1/2010	\$ 1,100,000	\$1,092,062	\$ 76,005	\$ 7,938

Section 2: Project and Program Costs  
(Section 2835(e)(1)(B))

Agency	Project	Description/Scope	Start Date	Total Budgeted Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
DOT	MARAD - PAG MODERNIZATION PROJECT	SUPPORTS ENVIRONMENTAL, ARCHITECTURAL AND ENGINEERING DESIGN	9/21/2009	\$ 2,000,000	\$1,940,000	\$ -	\$ 60,000
DOT	MARAD - PAG MODERNIZATION	PROVIDE ORGANIC GROWTH TO THE PORT TO SUPPORT CAPITAL IMPROVEMENT AND MILITARY REALIGNMENT	10/22/2010	\$50,000,000	\$894,939	\$ 894,939	\$49,105,061
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT ISLAND-WIDE PROGRAM MANAGEMENT SERVICES GU-NH-IPMS(002) DTFH6109X50001	PREPARE NEPA DOCUMENT AND COORDINATION BETWEEN GOVERNMENT OF GUAM DPW, FHWA, AND DOD	10/1/2008	\$ 5,372,550	\$ 4,437,660	\$ 387,210	\$ 934,890
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT ISLAND-WIDE PROGRAM MANAGEMENT SERVICES GU-NH-IPMS (002) 27XX66IPMS00273P0	PREPARE DESIGN/BUILD REQUEST FOR QUALIFICATIONS FOR PROPOSALS FOR FY2010 DAR PROJECTS, ROUTE 1 EAST OF ROUTE 4, ROUTE 11/1, ROUTE 11, ROUTE 1/8, AND AGANA BRIDGE AND UTILITY COORDINATOR	2/1/2010	\$ 2,949,137	\$ 1,492,603	\$ 776,597	\$ 1,456,534
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT ROUTE 1 AND 3 INTERSECTION IMPROVEMENTS GU-NH-0001 (125) 27XX66000112573P0	CONSULTANT FOR ROUTE 1/3 INTERSECTION	6/8/2011	\$ 570,740	\$ 59,262	\$ 59,262	\$ 511,478
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT ROUTE 3 FROM ROUTE 28 TO CHALAN KARETA GU-NH-0003 (105) 27XX66000310573V0	CONSULTANT FOR ROUTE 3 FROM ROUTE 28 TO CHALAN KARETA	5/26/2011	\$2,182,765	\$ -	\$ -	\$ 2,182,765

SECTION 2835(e)(1)(B)

Agency	Project	Description/Scope	Start Date	Total Budgeted Cost	Cost Incurred to Date (US or GOJ Funds)	Cost Incurred January 1 - December 31, 2011	Estimated Costs to Complete
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT ROUTE 1 AGANA BRIDGE AND ROUTE 1/8 INTERSECTION GU-DAR-TI01(001) 27XX66TI0173V0	CONSTRUCTION OF ROUTE 1 AGANA BRIDGE AND ROUTE 1/8 INTERSECTION	9/28/2011	\$11,239,814	\$ -	\$ -	\$11,239,814
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT ROUTE 11 (ROUTE 1 TO ROUTE 11) AND ROUTE 1/11 INTERSECTION GU-DAR-0011(008) 27XX66001100873V0	CONSTRUCTION OF ROUTE 11 AND ROUTE 1/11 INTERSECTION	10/4/2011	\$13,769,791	\$ -	\$ -	\$13,769,791
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT ISLAND-WIDE PROFESSIONAL CONSTRUCTION MANAGEMENT SERVICES GU-NH-PCMS (001) 27XX66PCMS00173V0	CONSTRUCTION MANAGEMENT FOR ROUTE 1 AGANA BRIDGE, ROUTE 1/8, ROUTE 11, ROUTE 1/11	9/28/2011	\$ 2,117,165	\$ -	\$ -	\$ 2,117,165
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT PROFESSIONAL SERVICES FOR ARCHAEOLOGICAL SUPPORT GU-NH-PSAS (001)	ARCHAEOLOGICAL SUPPORT FOR ROUTE 1 AGANA BRIDGE, ROUTE 1/8, AND ROUTE 1/11	PENDING	\$ 61,418	\$ -	\$ -	\$ 61,418
DOT	FHWA - GOVERNMENT OF GUAM CONTRACT TECHNICAL SUPPORT SERVICES ISLAND WIDE QUALITY ASSURANCE TESTING GU-NH-TSIS (002)	QUALITY ASSURANCE TESTING FOR ROUTE 1 AGANA BRIDGE, ROUTE 1/8, ROUTE 11, AND ROUTE 1/11	PENDING	\$ 19,000	\$ -	\$ -	\$ 19,000
<b>Total</b>				<b>\$91,406,786</b>	<b>\$ 9,916,526</b>	<b>\$ 2,218,421</b>	<b>\$81,480,735</b>

\* Information Not Provided.

Sources: DOC response to DoD OIG data call, 1/4/2012 and DOT response to DoD OIG data call, 1/6/2012.

SECTION 2835(e)(1)(B)





**3**

# GOVERNMENT OF JAPAN REVENUE

**SECTION 2835 (e)(1)(C)**

Section 2350k, title 10 of the United States Code, grants the Secretary of Defense authority to accept cash contributions from any nation in support of a relocation of the armed forces. According to agreements between the United States and the Japanese government, the GOJ is expected to provide up to \$6.09 billion (FY 2008 U.S. dollars) for the U.S. Marine Corps relocation from Okinawa to Guam. Public Law 111-84 requires the ICG annual report to contain a detailed statement on the revenues contributed by the Japanese government and any obligations or expenditures of these revenues.

## GOJ FUNDING FOR REALIGNMENT

Originally, in May 2006, the GOJ agreed to provide approximately \$2.80 billion in direct cash contributions for facilities and infrastructure development and approximately \$3.29 billion in equity and loans to Special Purpose Entities (public/private investments) to finance construction and maintenance of housing and utilities. GOJ will deposit the direct cash contributions into a trust fund account. Each month, DoD must provide a report to the GOJ showing the activity in this account and any related sub-accounts for the direct cash contributions provided by GOJ. The amount of equity and loans provided will be recorded separately. Table 6 shows the specific funding by category for GOJ funds.

**Table 6. Revised GOJ Funding Breakout  
(billions)**

	Direct Funds	Special Purpose Entity Financing
Operating Facilities	\$1.29	\$ -
Utility Infrastructure	\$ -	\$0.74
Family Housing	\$ -	\$2.55
Barracks / Quality of Life	\$1.51	\$ -
<b>Total</b>	<b>\$2.80</b>	<b>\$3.29</b>

Source: Secretary of the Navy, "Report on DoD Planning Efforts for Guam," 9/15/2008; and JGPO, "SAME International Business Opportunity Workshop," 2/22/2007.

Several organizational elements within the Department of the Navy (DON) have key roles and responsibilities associated with the GOJ funding as follows:

- JGPO is responsible for providing the Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) (OASN [FM&C]) the necessary documentation when GOJ funds are received.
- OASN(FM&C) reviews and provides necessary documentation to the Office of the Under Secretary of Defense (Comptroller) (OUSD[C]) regarding the receipt and execution of GOJ funds.
- NAVFAC is responsible for providing necessary documentation to OASN(FM&C) requesting authority to execute the GOJ funding on construction projects and managing the technical and financial execution of the GOJ funded construction projects.
- The OUSD(C) is responsible for submitting required notifications of the receipt of GOJ funds and planned construction obligations to Congress, issuing fund allocations for GOJ funding, and providing guidance as necessary to the Defense Finance and Accounting Service (DFAS).
- DFAS is responsible for receiving the GOJ funding from the Federal Reserve Bank, providing necessary documentation to the U.S. Treasury, providing reports on collections, disbursements, and earnings, and processing any necessary documentation to return any residual funding to the GOJ. In addition, DFAS monitors disbursements and collections and allocates interest earned by the "Support for U.S. Relocation to Guam Account" trust fund.

## REVENUES (CONTRIBUTIONS) / INTEREST / OBLIGATIONS / EXPENDITURES

In calendar year 2010, DFAS reported that the GOJ contributed \$497.8 million for construction, design, and on-base infrastructure projects. For calendar year 2011, DFAS reported no contributions from the GOJ. However, DFAS identified interest earned on investments, obligations and expenditures from January 1, 2011, to December 31, 2011 related to GOJ funds. (Table 7)

**Table 7. GOJ Revenues/Interest/Obligations/Expenditures  
for Calendar Year 2011**

Project	Revenue (Contribution)	Earnings on Investment	Obligations	Expenditures
Interest Earned Japanese FY 09 Funds	\$ -	\$ 784,457	\$ -	\$ -
Interest Earned Japanese FY 10 Funds	\$ -	\$ 1,584,832	\$ -	\$ -
Japanese FY 09 Design Fire Station	\$ -	\$ -	\$ 14,243	\$ 14,243
Japanese FY 09 Design Waterfront Headquarters	\$ -	\$ -	\$ 110,464	\$ 124,352
Japanese FY 09 Design Apra Medical	\$ -	\$ -	\$ 288,761	\$ 289,136
Japanese FY 09 Design Bachelor Enlisted Quarters Complex	\$ -	\$ -	\$ 342,550	\$ 660,974
Japanese FY 09 Construction Andersen Air Force Base	\$ -	\$ -	\$22,601,952	\$ 173,100
Japanese FY 09 Construction Apra	\$ -	\$ -	\$73,307,211	\$ 538,601
<b>Total</b>	<b>\$ -</b>	<b>\$ 2,369,289</b>	<b>\$-*,6*), % %</b>	<b>\$ 1,800,405</b>

Source: DFAS response to DoD OIG data call, 1/6/2012.



A U.S. Air Force F-16D Fighting Falcon aircraft  
conducts a mission during Exercise Cope North at Andersen AFB,  
Guam, February 2010

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# **OPERATING EXPENSES**

**SECTION 2835(e)(1)(D)**

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the operating expenses of agencies or entities receiving amounts appropriated or otherwise made available for military construction on Guam. The DoD Financial Management Regulation states that operating expenses include costs for personnel, travel, communications, contractual services, and other program expenses.

### DEPARTMENT OF THE NAVY OPERATING EXPENSES

In calendar year 2010, the DON (which includes the U.S. Marine Corps [USMC]) reported approximately \$26.7 million in operating expenses related to the Guam realignment. For calendar year 2011, NAVFAC operational expenses were reported by the U.S. Marine Corps because NAVFAC receives these funds on a reimbursable basis. The DON identified operating expenses of \$21.1 million during calendar year 2011, including salaries, travel, and other program expenses. Table 8 lists the operating expenses for DON support organizations associated with the military realignment on Guam.

**Table 8. Department of the Navy Operating Expenses Related to the Guam Realignment (January 1, 2011 – December 31, 2011)**

Organization	Description of Expenses Incurred	Operating Expenses
JGPO	Salaries	\$ 2,628,109
JGPO	Travel	\$ 244,294
JGPO	Supplies/Materials	\$ 45,622
JGPO	Other/In-House/Contracts	\$ 763,717
USMC Pacific Division	Salaries	\$ 1,078,830
USMC Pacific Division	Travel	\$ 161,289
USMC Pacific Division	Supplies	\$ 5,000
USMC Pacific Division	Contract	\$ 265,000
USMC Installation and Logistics	Travel	\$ 94,665
USMC Installation and Logistics	Contracts & Other Services	\$ 643,000
USMC Installation and Logistics	Expensed via NAVFAC	\$ 6,446,073
USMC Marine Forces Pacific	Salaries	\$ 833,078
USMC Marine Forces Pacific	Travel	\$ 296,554
USMC Marine Forces Pacific	Supplies & Equipment	\$ 21,084
USMC Marine Forces Pacific	Communications	\$ 0
USMC Marine Forces Pacific	Contract & Other Services	\$ 7,546,069
<b>Total</b>		<b>\$21,072,384</b>

Sources: JGPO response to DoD OIG data call, 1/6/2012 and U.S. Marine Corps response to DoD OIG data call, 1/18/2012.

## OPERATING EXPENSES FOR OTHER FEDERAL AGENCIES

In calendar year 2010, DOC, DOT, and EPA reported approximately \$1.1 million in operating expenses related to the Guam Realignment. For calendar year 2011, DOC, DOT, and EPA reported approximately \$1.7 million in operating expenses associated with the realignment of military installations and military personnel to Guam. Specifically, these agencies identified salary, travel, and contract costs. Table 9 lists operating expenses for other Federal agencies associated with the military realignment on Guam.

**Table 9. Other Federal Agency Operating Expenses Related to the Guam Realignment  
(January 1, 2011 – December 31, 2011)**

Agency	Description of Expenses Incurred	Operating Expenses
DOC	Salaries and Travel	\$ 121,564
DOC	Salaries	\$ 14,100
DOC	Travel	\$ 13,385
DOT	Contracts	\$ 894,939
DOT	Travel	\$ 132,260
DOT	Salaries	\$ 280,009
EPA	Salaries	\$ 208,347
EPA	Travel	\$ 10,394
<b>Total</b>		<b>\$ 1,674,998</b>

Sources: DOC response to DoD OIG data call, 1/4/2012; DOT response to DoD OIG data call, 1/6/2012; EPA response to DoD OIG data call, 1/4/2012.



**U.S. Air Force personnel perform construction at the Northwest Field site on Andersen Air Force Base, Guam, January 2009**



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**CONTRACTS, GRANTS, AGREEMENTS, OR OTHER  
FUNDING MECHANISMS DATA**

**SECTION 2835(e)(1)(E)**

Public Law 111-84 requires the ICG annual report to contain a detailed statement on the awarded contracts, grants, agreements, or other funding mechanisms that use amounts appropriated or otherwise made available for military construction on Guam.

## CONTRACTING PROCESS

NAVFAC is the contracting office responsible for executing all construction contracts related to the Guam realignment. In FY 2009, NAVFAC began awarding architect and engineering contracts for the preparation of the construction on Guam.

## DoD CONTRACTS/GRANTS/AGREEMENTS/OTHER FUNDING MECHANISMS

In calendar year 2010, the Office of the Assistant Secretary of the Navy (Financial Management and Comptroller) and the DoD Office of Economic Adjustment (OEA) reported 133 contracts and 10 grants. For these contracts and grants, these agencies obligated approximately \$157.5 million.

For calendar year 2011, NAVFAC Headquarters and DoD OEA reported a total of 79 contracts and 13 grants, respectively, totaling approximately \$202.6 million that were used to support the military realignment to Guam. Table 10 identifies NAVFAC Headquarters and DoD OEA contracts, grants, agreements, or other funding mechanisms. A contract may be listed multiple times due to a task order, delivery order, or modification increasing the value of the contract.

U.S. Air Force personnel place a clamp on the steel arc of a K-Span facility at Northwest Field, Andersen Air Force Base, Guam, May 2009.



**Table 10. DoD Contracts, Grants, Agreements, or Other Funding Mechanisms Supporting the Guam Military Realignment**

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	FA524005D0003	\$50,000,000	\$ 272,164	AWARD PCAS OPTION ITEM FOR NORTH RAMP PARKING AAFB GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	27
DON	FA524009D0005	\$10,000,000	\$ 652,895	INCORPORATE FEEDER UPGRADE WORK AND FUNDING FOR NORTH RAMP UTILITIES AAFB GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	13
DON	FA524009D0005	\$10,000,000	-\$ 711,843	TERMINATE REMAINING A-E SERVICES WORK FOR FY11 MCON P-109 AVIATION FACILITIES AT NORTH RAMP AAFB GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	13
DON	FA524009D0008	\$10,000,000	\$ 37,693	EQUITABLE ADJUSTMENT FOR DESIGN PACKAGE CHANGES FOR TUMON MAUI WELL REHABILITATION, AAFB, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	13
DON	N4019210C3000	Not applicable	-\$ 394	DEOBLIGATE UNEARNED AWARD FEE ON 1ST AWARD OPTION PERIOD	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	\$ 376	ADD BUSMAN TRANSPORT FROM TOP O' MAR TO UNIFORM & TANGO WHARVES FOR APR A HARBOR WHARF IMPRV	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	\$ 1,603	FACILITIES MANAGEMENT SERVICES FOR FINEGAYAN LANDFILL NO 2 GUAM	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	\$ 79,588	ADD PORT OPS SERVICES FOR APR A HARBOR WHARF IMPRV	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	\$ 2,031	ADD FACILITY MANAGEMENT SERVICES FOR MILITARY WORKING DOG RELOCATION FACILITIES, NAVAL BASE GUAM	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210C3000	Not applicable	-\$ 394	AWARD FEE DE-OBLIGATION 3RD TRIMESTER AOY1	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	-\$ 113	AWARD FEE DE-OBLIGATION 3RD TRIMESTER AOY1	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	-\$ 4	AWARD FEE DE-OBLIGATION 3RD TRIMESTER AOY 1	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	\$ 2,985	PROVIDE FACILITY MANAGEMENT SERVICES (COST ESTIMATE FEE FOR DIG PERMIT) AND INSTALL CONCRETE COMMUNICATION DUCT BANK & ASSOCIATED MAN HOLES TO CABLE HUT SUMAY DR. FOR APRA HARBOR WHARF IMPRV, INC 1 OF 2	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	-\$ 3	DEOBLIGATE UNEARNED AWARD FEE 1ST TRIMESTER, AOY2	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210C3000	Not applicable	\$ 4,211	UTILITY EXCAVATION PERMIT REQUEST AND TEST BORINGS APPS FOR NAVFAC APRA UTILITIES & SITE IMPROVEMENT PROJECTS	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	7
DON	N4019210D0004	\$50,000,000	\$ 54,284	GEOPHYSICAL SURVEY FOR MILITARY WORKING DOG RELOCATION, APRA HARBOR, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
DON	N4019210D0004	\$50,000,000	\$ 12,042	ADD ANOMOLY AVOIDANCE IN SUPPORT OF THE GENERAL CONSTRUCTION CONTRACTOR	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D0004	\$50,000,000	\$ 350,704	INCORPORATE ADDITIONAL ANOMALIES, DEMO CONCRETE SLAB, & DELETE SOW TASK 6 FOR EMERGENCY UXO DISPOSAL FOR MUNITIONS AND EXPLOSIVES OF CONCERN (MEC) MILITARYWORKING DOG RELOCATION (PHASE I), U.S. NAVAL BASE, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
DON	N4019210D0007	\$50,000,000	\$ 1,682,828	MUNITIONS AND EXPLOSIVES OF CONCERN (MEC) CLEARANCE AND CULTURAL RESOURCE SUPPORT FOR THE NORTH RAMP PARKING, UTILITIES, AND COMM DUCT BANK, AAFB, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
DON	N4019210D0007	\$50,000,000	\$ 99,456	MUNITIONS AND EXPLOSIVES OF CONCERN (MEC) CLEARANCE FOR P-204 PART C (APRA CABLE HUT AND TELECOM DUCT), APRA HARBOR, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	4
DON	N4019210D2800	\$500,000,000	\$ 9,121,560	DESIGN, LABOR, MATERIAL, EQUIPMENT AND INCIDENTALS FOR FY 10 MILCON PROJECT P-100, NORTH RAMP UTILITIES, INCREMENT 1, AAFB, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2801	\$500,000,000	\$ 4,729,000	DESIGN, LABOR, MATERIAL, EQUIPMENT AND INCIDENTALS FOR MCON PROJECT P-204 WHARF IMPROVEMENTS, APRA PHASE 1 (PART C), CABLE HUT, NAVAL BASE GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

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Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019210D2801	\$500,000,000	\$ 4,521,000	TUMON MAUI WELL REHABILITATION PROJECT TO INCLUDE INSTALLATION OF WATER DISINFECTION, CHLORINATION, TESTING AND TRANSMISSION SYSTEMS, AND PROVISION FOR METERED CONNECTIVITY TO GUAM WATERWORKS AUTHORITY WATER LINES TO SUPPORT DEFENSE POLICY REVIEW INITIATIVE (DPRI).	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	5
DON	N4019210D2803	\$500,000,000	\$ 5,317,700	DESIGN, LABOR, MATERIAL, EQUIPMENT AND INCIDENTALS FOR FY 10 MILCON PROJECT NORTH RAMP PARKING COMMUNICATION DUCT BANK, AAFB GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6
DON	N4019210D2810	\$500,000,000	-\$ 171,009	DELETE CLIN 0006 OPTION ITEM 5 AND DELETE HANDLING AND ADMIN RATE FOR CLIN 0007 FOR FY 10 MCON P-1003, MILITARY WORKING DOG RELOCATION, APRA HARBOR, NAVAL BASE GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	6

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N4019211C8002	Not applicable	\$ 10,180	DOCUMENTATION OF THE COOPERATIVE AGREEMENT BETWEEN THE DEPT OF NAVY AND THE CNMI MUSEUM OF HISTORY AND CULTURE FOR THE PREPARATION AND ACCESSIONING OF ARCHAEOLOGICAL MATERIALS COLLECTED DURING CONSTRUCTION AND OPERATIONS ASSOCIATED WITH THE GUAM/CNMI MILITARY RELOCATION ON THE ISLAND OF TINIAN	RFP	1	1
DON	N4019211C8005	Not applicable	\$ 198,172	DOCUMENTATION OF THE COOPERATIVE AGREEMENT BETWEEN THE DEPT OF NAVY AND THE UNIVERSITY OF MONTANA, MISSOULA, FOR THE DEVELOPMENT AND IMPLEMENTATION OF CONSERVATION AND MANAGEMENT ACTIONS IN SUPPORT OF RECOVERY ACTIONS FOR THE MARIANA FRUIT BAT ON THE ISLAND OF ROTA, COMMONWEALTH OF NORTHERN MARIANA ISLANDS	RSOI	13 DOD CESUS	6
DON	N4019211M5005	Not applicable	\$ 124,860	BIOSECURITY OUTREACH PROGRAM FOR THE DEVELOPMENT OF PUBLIC SERVICE ANNOUNCEMENTS (PSAS), BROCHURES, POSTERS, ACTIVITY BOOKS, AND A MEDIA DISSEMINATION PLAN FOR PUBLIC EDUCATION ON INVASIVE SPECIES	SIMPLIFIED ACQUISITION	NOT COMPLETED UNDER SAP	1

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6247009D2003	\$20,000,000	\$ 85,888	ADDITIONAL DOCUMENT COLLECTION FOR THE ADMINISTRATIVE RECORD FOR THE GUAM MILITARY BUILDUP ENVIRONMENTAL IMPACT STATEMENT (EIS)/ OVERSEAS EIS	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6247009D2003	\$20,000,000	\$ 59,133	INCORPORATE ADDITIONAL REQUIREMENTS ASSOCIATED WITH THE ADMINISTRATIVE RECORD FOR FIRING RANGES RECORD OF DECISION	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6247010D3011	\$50,000,000	\$ 156,334	SURVEY OF TWO WATERSHEDS AT RECONNAISSANCE LEVEL FOR PLACEMENT OF LFTRC IN GUAM FOR JGPO SEIS	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	5
DON	N6247010D3011	\$50,000,000	-\$ 156,334	DEOBLIGATE FUNDING DUE TO USMC REQUEST TO RECAPTURE FUNDING	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	5
DON	N6247010D3011	\$50,000,000	\$ 156,334	SURVEY OF TWO WATERSHEDS AT RECONNAISSANCE LEVEL FOR PLACEMENT OF LFTRC IN GUAM FOR JGPO SEIS	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	5
DON	N6274206D1870	\$65,000,000	-\$ 5,969	CHANGES IN SOW FOR WATER WELL TESTING STUDY IN SUPPORT OF THE EIS MC RELOCATION	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274206D1870	\$65,000,000	\$ 111,615	CHANGES IN SOW FOR PH 1 ENVIRONMENTAL SITE ASSESSMENT FOR GUAM UTILITY IMPROVEMENTS	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4



Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274208D0009	\$100,000,000	-\$ 7,346	CORRECTED THE TAX RATE TO THE GUAM GRT VICE HAWAII GET FOR P-1003 MILITARY WORKING DOG RELOCATION, NAVAL BASE GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 81,832	ADD POST CONSTRUCTION AWARD SERVICES FOR P-1003 MILITARY WORKING DOG RELOCATION, NAVAL BASE GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 7,315	INCLUDE EXTENDED OVERHEAD COST FOR MILITARY WORKING DOG RELOC, APRRA HARBOR, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	-\$ 58,398	CORRECTED THE TAX RATE TO THE GUAM GRT VICE HAWAII GET FOR FY10 MCF-001 GUAM UTILITIES AND SITE IMPROVEMENTS PH I	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 180,468	REVISE WORK PLAN/ HEALTH AND SAFETY PLAN, PREPARE HAZARD ANALYSIS AND CRITICAL CONTROL POINTS PLAN, AND PROVIDE FIELD INVESTIGATION PLANNING AT FINEGAYAN LANDFILL NO. 2, NORTH FINEGAYAN, NAVBASE GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 14,520	PROVIDE JV MANAGEMENT SUPPORT SERVICES FOR THE MANAGEMENT AND ADMINISTRATION OF THE TASK ORDER	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274208D0009	\$100,000,000	-\$ 276,764	CREDIT FOR JOINT VENTURE MANAGEMENT SUPPORT FOR THE MANAGEMENT AND ADMINISTRATION OF THE PROGRAM SUPPORT CONTRACT	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 50,110	DESIGN DOCUMENTS FOR THE SITE IMPROVEMENTS FOR A CENTRAL CONSTRUCTION AND DEMOLITION/COMPOSTING FACILITY FOR JFY 2010 J-0001 FINEGAYAN UTILITIES & SITE IMPROVEMENTS PH I, MARINE CORPS BASE, FINEGAYAN, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 208,441	PROVIDE FINAL DD1391 PROJECT DOCUMENTS FOR FY13 P-113 FINEGAYAN UTILITIES & SITE IMPROVEMENTS, PHASE 2, MARINE CORPS BASE, FINEGAYAN, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 50,919	PROVIDE RECOMMENDATIONS ON AUTHORIZED PROJECT AMOUNT AND VERIFY/ VALIDATE PROJECT REQUIREMENTS	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 611,770	PREPARATION OF DESIGN-BUILD RFP CONTRACT DOCUMENTS FOR FY12 MCON P-2048 FINEGAYAN WATER UTILITIES, NAVAL BASE, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 7,315	INCLUDE EXTENDED OVERHEAD COST FOR FY12 P-2048 FINEGAYAN WATER UTILITIES RFP PREPARATION	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274208D0009	\$100,000,000	\$ 56,252	PREPARATION OF A PESTICIDE SOIL MANAGEMENT PLAN FOR THE DPRI PROGRAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	-\$ 1,625	DELETE REVIEW OF FINEGAYAN SAMPLING ANALYSIS PLAN AND PESTICIDE TESTING REPORTS AND ADD EXTENDED OVERHEAD COSTS	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 360,848	GIS SUPPORT SERVICES IN SUPPORT OF THE DPRI	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0009	\$100,000,000	\$ 326,851	PCAS FOR JFY09 J-001 GUAM UTILITIES AND SITE IMPROVEMENTS - PH 1, APRA HARBOR, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274208D0014	\$ 5,000,000	-\$ 344	DEDUCT OTHER CONSULTATION SERVICES NOT USED FOR THE EXECUTION OF THE GUAM TECHNICAL GUIDE ADDENDA	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	11
DON	N6274208D0014	\$5,000,000	\$ 162,041	UPDATE THE COMMON COMPONENTS STUDY	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	11
DON	N6274208D0018	\$ 5,000,000	-\$ 26,781	DE-OBLIGATE UNUSED CONSULTATION SERVICES FUNDS FOR GEOLOGIC INVESTIGATION FOR JFY10 J-017 FINEGAYAN UTILITIES & SITE IMPROVEMENTS, PH 2, MARINE CORPS BASE, FINGAYAN, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	3

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274209D0002	\$100,000,000	\$ 840,872	PREPARATION OF THREE (3) RFP PACKAGES FOR THE DESIGN AND CONSTRUCTION OF PART D (BY PASS ROAD), PART E (TANGO WHARF IMPROVEMENTS), AND PART F (MECHANICAL, CIVIL & ELECTRICAL UTILITIES) FOR FY10 MCON P-204 WHARF IMPROVEMENTS (U&T), APRA PHASE 1, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274209D0002	\$100,000,000	\$ 70,441	POST CONSTRUCTION AWARD SERVICES FOR FY10 MCON PROJECT P-204, WHARF IMPROVEMENTS (UNIFORM&TANGO) PARTS A&B, APRA HARBOR, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274209D0002	\$100,000,000	\$ 205,301	POST CONSTRUCTION AWARD SERVICES FOR FY10 MCON PROJECT P-204, WHARF IMPROVEMENTS (UNIFORM&TANGO) PART C, APRA HARBOR, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	4
DON	N6274209D1960	\$ 3,500,000	\$ 180,971	HISTORICAL PRESERVATION SERVICES FOR P100 NORTH RAMP UTILITIES PHASE I	ONLY ONE SOURCE	NOT AVAILABLE FOR COMPETITION	1
DON	N6274210D0003	\$ 50,000,000	\$ 17,678	PERFORM AND SUBMIT DESIGN REVISIONS FOR TELECOM DUCT-LINE P-204D COORDINATION FOR GOJ JFY10 WATERFRONT HEADQUARTERS BUILDING, APRA HARBOR, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	17

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274210D0003	\$ 50,000,000	\$ 28,332	INCLUDE A SITE VISIT TO VIEW EXISTING BEQ PROJECTS IN THE SAN DIEGO AREA AND TO COORDINATE FURNITURE, FURNISHINGS & EQUIPMENT (FF&E) TURNKEY PROCESS FOR JFY09 PROJECT J-010, BEQ PROTOTYPE DESIGN FOR THE MARINE CORPS BASE ON FINEGAYAN, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	17
DON	N6274210D0009	\$ 7,500,000	\$ 249,998	JRM SUPPLEMENTAL INTEGRATED SOLID WASTE MANAGEMENT PLAN	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	6
DON	N6274210D1309	\$4,000,000,000	\$ 7,928	REVISE SPECIFICATIONS FOR DESIGN SUBMITTAL PROCEDURES AND SAFETY AND OCCUPATIONAL HEALTH REQUIREMENTS FOR FY10 MILCON PROJECT P-204, APRA HARBOR WHARF IMPROVEMENTS (UNIFORM & TANGO) PHASE I (PARTS A & B), NAVAL BASE, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1309	\$4,000,000,000	\$ 85,694	REDESIGN DRAINAGE SYSTEM FOR FY10 MILCON PROJECT P-204, APRA HARBOR WHARF IMPROVEMENTS (UNIFORM & TANGO) PHASE I (PARTS A & B), NAVAL BASE, GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274210D1309	\$4,000,000,000	\$ 692,762	INCORPORATED SPECIFICATION REQUIREMENTS: (1) REPLACE SPEC SECTION 01 57 19.00 20 WITH SPEC SECTIONS 01 57 19.00 20 DTD 11APR11; (2) REPLACE SPEC SECTION 01 74 19.05 20 WITH SPEC SECTION 01 74 19.05 20 DTD 11APR11; (3) INSERT MUNITIONS OF EXPLOSIVE CONCERN PROBABILITY MAP FIG 1-2B	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1312	\$4,000,000,000	\$ 73,177,000	DESIGN, LABOR, MATERIAL, EQUIPMENT AND INCIDENTALS FOR FY 10 MILCON PROJECT P101 & P101A, NORTH RAMP PARKING, AAFB GUAM	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210D1312	\$4,000,000,000	\$ 363,764	CHANGE THE STANDARD INSULATED WIRE FOR MEDIUM VOLTAGE FROM EPR TO AAFB STANDARD OF XLP PER ARTICLE 9.2 OF THE BASE ARCHITECTURAL AND COMPATIBILITY DESIGN STANDARDS	SUBJECT TO MULTIPLE AWARD FAIR OPPORTUNITY	FULL AND OPEN COMPETITION	7
DON	N6274210P0501	Not applicable	-\$ 30,270	CANCELLATION OF PHASES II AND III OF THE DPR I PROCESS IMPROVEMENT AND FACILITATION SUPPORT	SIMPLIFIED ACQUISITION	NOT COMPETED UNDER SAP	1
DON	N6274211C0400	Not applicable	\$ 89,719,000	NORTH RAMP AND APRA HARBOR SITE IMPROVEMENTS, CLEARING, UTILITIES, ROADWAYS FOR JFY09 MAMIZU J-001 UTILITIES AND SITE IMPROVEMENTS, PHASE 1, GUAM	NEGOTIATED PROPOSAL/QUOTE	FULL AND OPEN COMPETITION	5

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274211D1801	\$50,000,000	\$ 1,589,814	PREPARATION OF AN ALTERNATIVE ANALYSIS OF POSSIBLE LIVE FIRING RANGE ALTERNATIVES ON GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
DON	N6274211D1801	\$50,000,000	\$ 382,452	SCOPING IN ACCORDANCE WITH NEPA, CULMINATING IN A REPORT DOCUMENTING THE SCOPING PROCESS WHICH THE NAVY WILL CONSIDER IN DETERMINING THE SCOPE OF A DRAFT LIVE FIRE TRAINING RANGE COMPLEX SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT ON GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
DON	N6274211D1801	\$50,000,000	\$ 1,420,624	ARCHAEOLOGICAL SURVEYS AND CULTURAL RESOURCE STUDIES ANALYZING SELECTED AREAS ON GUAM IN SUPPORT OF THE LIVE FIRE TRAINING RANGE COMPLEX SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT, NAVAL BASE GUAM NAVAL MUNITIONS SITE ALTERNATIVE	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5
DON	N6274211D1801	\$50,000,000	\$ 509,641	PREPARE REMOTE SENSING WETLAND MAPPING AND BIOLOGICAL SURVEYS FOR US MARINE CORPS TRAINING RANGE ALTERNATIVES IN SUPPORT OF THE LIVE FIRE TRAINING RANGE COMPLEX SUPPLEMENTAL ENVIRONMENTAL IMPACT STATEMENT ON GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION	5

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6274211D0011	\$ 7,500,000	\$ 208,515	POST CONSTRUCTION AWARD SERVICES FOR GOJ FY2010 MCF-001 GUAM UTILITIES AND SITE IMPROVEMENTS - PH 1, ACE GATE, AAFB, GUAM	ARCHITECT-ENGINEER FAR 6.102	FULL AND OPEN COMPETITION AFTER EXCLUSION OF SOURCES	9
DON	N6274211D1828	\$ 4,000,000	\$ 188,291	DEVELOPMENT OF BASELINE MONITORING PROGRAM FOR TERRESTRIAL VEGETATION, INVERTEBRATES, AND VERTEBRATES ON GUAM	ONLY ONE SOURCE	NOT AVAILABLE FOR COMPETITION	1
DON	N6274211P1827	Not applicable	\$ 18,853	BIOLOGICAL MONITORING FOR GOJ UTILITIES AND SITE IMPROVEMENTS, PHASE 2	SIMPLIFIED ACQUISITION	COMPETED UNDER SAP	1
DON	N6247208D2006	\$40,000,000	\$ 749,962	PROFESSIONAL SERVICES - PUBLIC PRIVATE VENTURE: GUAM UTILITIES DEVELOPMENT. NOTE: CONTRACT N6247208D2006 IS PRIMARILY FOR WORK NOT ASSOCIATED WITH THE REALIGNMENT OF MILITARY INSTALLATIONS AND RELOCATION OF MILITARY PERSONNEL TO GUAM. THEREFORE, THE CEILING AMOUNT FOR THIS CONTRACT IS NOT RELEVANT	NECO	0	6



Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6247208D2006	\$40,000,000	\$ 249,210	PROFESSIONAL SERVICES - PUBLIC PRIVATE VENTURE: GUAM UTILITIES DEVELOPMENT. NOTE: CONTRACT N6247208D2006 IS PRIMARILY FOR WORK NOT ASSOCIATED WITH THE REALIGNMENT OF MILITARY INSTALLATIONS AND RELOCATION OF MILITARY PERSONNEL TO GUAM. THEREFORE, THE CEILING AMOUNT FOR THIS CONTRACT IS NOT RELEVANT	NECO	0	6
DON	N6247208D2006	\$40,000,000	\$ 400,000	PROFESSIONAL SERVICES - PUBLIC PRIVATE VENTURE: GUAM HOUSING SPE. NOTE: CONTRACT N6247208D2006 IS PRIMARILY FOR WORK NOT ASSOCIATED WITH THE REALIGNMENT OF MILITARY INSTALLATIONS AND RELOCATION OF MILITARY PERSONNEL TO GUAM. THEREFORE, THE CEILING AMOUNT FOR THIS CONTRACT IS NOT RELEVANT	NECO	0	6

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Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DON	N6247208D2006	\$40,000,000	\$ 1,449,892	PROFESSIONAL SERVICES - PUBLIC PRIVATE VENTURE: GUAM UTILITIES DEVELOPMENT. NOTE: CONTRACT N6247208D2006 IS PRIMARILY FOR WORK NOT ASSOCIATED WITH THE REALIGNMENT OF MILITARY INSTALLATIONS AND RELOCATION OF MILITARY PERSONNEL TO GUAM. THEREFORE, THE CEILING AMOUNT FOR THIS CONTRACT IS NOT RELEVANT	NECO	0	6
DoD OEA	GR0706-07-01-07-01	\$ 589,591	\$ -	KEY OBJECTIVES: INITIAL ASSESSMENT OF CHALLENGES; ORGANIZATION LOGISTICAL SUPPORT; COMMUNITY OUTREACH	N/A	N/A	N/A
DoD OEA	GR0706-08-02-08-01	\$ 1,546,299	\$ -	KEY OBJECTIVES: CAPACITY BUILDING WITHIN THE OFFICE OF THE GOVERNOR; ORGANIZATIONAL / LOGISTICAL SUPPORT; PORT AUTHORITY OF GUAM FINANCIAL FEASIBILITY STUDY; PORT AUTHORITY OF GUAM IMPLEMENTATION PLAN; PORT AUTHORITY OF GUAM PROTOCOL MANUAL	N/A	N/A	N/A

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DoD OEA	GR0706-08-03	\$ 2,531,610	\$ -	KEY OBJECTIVES: CAPACITY BUILDING WITHIN THE OFFICE OF THE GOVERNOR (ADVISORY SERVICES CONTRACT FOR ENVIRONMENTAL, PLANNING, AND FISCAL/ FINANCIAL ANALYSIS); ENVIRONMENTAL ADVISORY SERVICES, PLANNING ADVISORY SERVICES; FINANCIAL-FISCAL ADVISORY SERVICES; ZERO SOLID WASTE MANAGEMENT STUDY	N/A	N/A	N/A
DoD OEA	GR0706-09-05	\$ 996,873	\$ -	KEY OBJECTIVES: FISCAL ANALYSIS OF DIRECT AND INDIRECT IMPACTS ASSOCIATED WITH PROPOSED DOD BUILDUP ON GUAM	N/A	N/A	N/A
DoD OEA	GR0706-09-04	\$ 2,000,000	\$ -	KEY OBJECTIVES: PORT MODERNIZATION FACT FINDING AND PLANNING	N/A	N/A	N/A
DoD OEA	GR0706-09-06	\$ 536,955	\$ -	KEY OBJECTIVES: CAPACITY BUILDING WITHIN THE OFFICE OF THE GOVERNOR; ORGANIZATIONAL/ LOGISTICAL SUPPORT TO THE OFFICE OF THE GOVERNOR	N/A	N/A	N/A
DoD OEA	GR0706-09-07	\$ 2,000,000	\$ -	KEY OBJECTIVES: CAPACITY ENHANCING BUILDING INITIATIVE - BEST MANAGEMENT, BEST PRACTICES PROTOCOL; FIVE YEAR HEALTH AND HUMAN SERVICES CARE STRATEGIC PLAN	N/A	N/A	N/A

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1 - December 31, 2011	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DoD OEA	GR0706-09-08	\$ 975,000	\$ -	KEY OBJECTIVES: PORT TERMINAL PRELIMINARY DESIGN; PORT MASTER PLANNING TECHNICAL SUPPORT	N/A	N/A	N/A
DoD OEA	GR0706-10-09-10-01	\$ 380,000	\$ -	KEY OBJECTIVES: SUPPORT TO THE OFFICE OF THE GOVERNOR IN THE DEVELOPMENT OF SOCIOECONOMIC AREAS OF INTEREST (SAI) NEEDS ASSOCIATED WITH THE DEFENSE POSTURE REALIGNMENT INITIATIVE	N/A	N/A	N/A
DoD OEA	GR0706-10-10	\$ 750,000	\$ -	KEY OBJECTIVES: PORT MODERNIZATION PROGRAM PROJECT CONTROLS AND ACCOUNTING SERVICES	N/A	N/A	N/A
DoD OEA	GR0706-11-11	\$ 175,000	\$ 175,000	KEY OBJECTIVES: MEDICAL SERVICES DELIVERY PLANNING	N/A	N/A	N/A
DoD OEA	GR0706-11-12	\$ 850,000	\$ 850,000	KEY OBJECTIVES: PORT MODERNIZATION PROGRAM TECHNICAL AND ENGINEERING CONSULTING; FUTURE PORT OPERATIONS FINANCIAL MODELING	N/A	N/A	N/A
DoD OEA	GR0706-11-13	\$ 249,890	\$ 249,890	KEY OBJECTIVES: ORGANIZATIONAL & LOGISTICAL SUPPORT TO THE GUAM GOVERNOR'S OFFICE	N/A	N/A	N/A
<b>Total</b>			<b>\$202,597,614</b>				

Sources: NAVFAC Headquarters response to DoD OIG data call, 1/6/2012; DoD OEA response to DoD OIG data call, 1/17/2012.

## OTHER FEDERAL AGENCIES CONTRACTS/GRANTS/AGREEMENTS/OTHER FUNDING MECHANISMS

In calendar year 2010, the DOC reported four contracts, DOT reported one contract, and EPA reported two contracts, totaling approximately \$2.0 million that was used to support the military realignment to Guam. For calendar year 2011, the DOT reported 1 contract and 11 grants, totaling approximately \$33.8 million, and EPA reported two contracts, totaling \$2.5 million. Table 11 identifies other Federal agencies contracts, grants, agreements, or other funding mechanisms.

**Table 11. Other Federal Agencies Contracts, Grants, Agreements, or Other Funding Mechanisms Supporting the Guam Military Realignment**

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1-December 31, 2010 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DOT	CONTRACT DTMA1D10002	\$400,000,000	\$ 894,939	PROGRAM MANAGEMENT	Full and Open	UNLIMITED	5
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-IPMS (002) ISLAND-WIDE PROGRAM MANAGEMENT SERVICES(FUNDS FROM USMC) DTFH6109X50001 (ADVICE/MOD #1)	\$ 3,696,610	\$ -	PREPARE NEPA DOCUMENT AND COORDINATION BETWEEN GOVERNMENT OF GUAM DPW, FHWA, AND DOD	ID/IQ RFP	BASE CONTRACT - OPEN ADVERTISE- MENT	5
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-IPMS( 002) ISLAND-WIDE PROGRAM MANAGEMENT SERVICES (FUNDS FROM USMC) DTFH6109X50001 (ADVICE/MOD #3)	\$ 1,595,940	\$ -	PREPARE NEPA DOCUMENT AND COORDINATION BETWEEN GOVERNMENT OF GUAM DPW, FHWA, AND DOD	ID/IQ RFP	BASE CONTRACT - OPEN ADVERTISE- MENT	5
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-IPMS (002) ISLAND-WIDE PROGRAM MANAGEMENT SERVICES (FUNDS FROM BUMED) DTFH6109X50001 (ADVICE/MOD #2)	\$ 80,000	\$ -	PREPARE NEPA DOCUMENT AND COORDINATION BETWEEN GOVERNMENT OF GUAM DPW, FHWA, AND DOD	ID/IQ RFP	BASE CONTRACT - OPEN ADVERTISE- MENT	5

Section 5: Contracts, Grants, Agreements, or Other Funding Mechanisms Data (Section 2835(e)(1)(E))

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1-December 31, 2010 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-IPMS (002) ISLAND-WIDE PROGRAM MANAGEMENT SERVICES 27XX66IPMS00273P0 (\$2,646,633.08) 27XX66IPMS00273V0 (\$302,504.00)	\$ 2,949,137	\$ 2,949,137	PREPARE DESIGN/BUILD REQUEST FOR QUALIFICATIONS FOR PROPOSALS FOR FY2010 DAR PROJECTS, ROUTE 1 EAST OF ROUTE 4, ROUTE 11/1, ROUTE 11, ROUTE 1/8, AND AGANA BRIDGE	ID/IQ RFP	BASE CONTRACT - OPEN ADVERTISEMENT	5
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-0001 (125 ) 27XX66000112573P0	\$ 570,740	\$ 570,740	CONSULTANT FOR ROUTE 1/3 INTERSECTION	RFP	OPEN ADVERTISEMENT	3
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-0003 (105) 27XX66000310573V0	\$ 2,182,765	\$ 2,182,765	CONSULTANT FOR ROUTE 3 FROM ROUTE 28 TO CHALAN KARETA	RFP	OPEN ADVERTISEMENT	3
DOT	GRANT TO GOVERNMENT OF GUAM GU-DAR-TI01 (001) 27XX66TI0173V0	\$ 11,239,814	\$ 11,239,814	CONSTRUCTION FOR ROUTE 1 AGANA BRIDGES AND ROUTE 1/8 INTERSECTION	RFP	OPEN ADVERTISEMENT	3
DOT	GRANT TO GOVERNMENT OF GUAM GU-DAR-0011 (008) 27XX66001100873V0	\$ 13,769,791	\$ 13,769,791	CONSTRUCTION FOR ROUTE 11 AND ROUTE 1/11 INTERSECTION	RFP	OPEN ADVERTISEMENT	3
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-PCMS (001) 27XX66PCMS00173V0	\$ 2,117,165	\$ 2,117,165	CONSTRUCTION MANAGEMENT FOR ROUTE 1 AGANA BRIDGES, ROUTE 1/8 INTERSECTION, ROUTE 11 AND ROUTE 1/11 INTERSECTION	ID/IQ RFP	BASE CONTRACT - OPEN ADVERTISEMENT	5
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-PSAS (001)	\$ 61,418	\$ 61,418	PROFESSIONAL SERVICES FOR ARCHAEOLOGICAL SUPPORT	RFP	BASE CONTRACT - OPEN ADVERTISEMENT	3

SECTION 2835(e)(1)(E)

Agency	Contract, Grant, Agreement, or Other Funding Mechanism Title	Ceiling Amount	Amount Obligated January 1-December 31, 2010 (U.S. Funds)	Scope / Description	Method Used to Solicit Offers	Offers Solicited	Offers Received
DOT	GRANT TO GOVERNMENT OF GUAM GU-NH-TSIS (002)	\$ 19,000	\$ 19,000	TECHNICAL SUPPORT SERVICES ISLAND-WIDE QUALITY ASSURANCE TESTING	RFP	BASE CONTRACT - OPEN ADVERTISEMENT	1
EPA	EP099BOA002	\$ 2,473,412	\$ 2,473,412	Guam Waterworks Authority in-kind services technical support.	RFQ	8	3
EPA	EP-R9-08-01	\$ 32,000	\$ 32,000	Support for Adaptive Program Management (EPA Program) for Guam Military Buildout	Federal Business Opportunities	N/A	1
<b>Total</b>			<b>\$ 36,310,180</b>				

Source: DOT response to DoD OIG data call, 1/6/2012; EPA response to DoD OIG data call, 1/4/2012.

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# Appendices



# Appendix A. Legislative Mandates for Guam Realignment in the National Defense Authorization Act for FY 2010

## **SEC. 2831. ROLE OF DEPARTMENT OF DEFENSE IN MANAGEMENT AND COORDINATION OF DEFENSE ACTIVITIES RELATING TO GUAM REALIGNMENT.**

(a) DEPUTY SECRETARY OF DEFENSE.—Section 132 of title 10, U.S. Code, is amended by adding at the end the following new subsection:

“(d) Until September 30, 2015, the Deputy Secretary of Defense shall lead the Guam Executive Council and shall be the Department of Defense’s principal representative for coordinating the interagency efforts in matters relating to Guam, including the following executive orders:

“(1) Executive Order No. 13299 of May 12, 2003 (68 Fed. Reg. 25477; 48 U.S.C. note prec. 1451; relating to the Interagency Group on Insular Affairs).

“(2) Executive Order No. 12788 of January 15, 1992, as amended (57 Fed. Reg. 2213; relating to the Defense Economic Adjustment Program).”

(b) REPORT.—Not later than 90 days after the date of the enactment of this Act, the Secretary of Defense shall submit to the congressional defense committees a report including the charter that establishes the Guam Executive Council.

## **SEC. 2832. CLARIFICATIONS REGARDING USE OF SPECIAL PURPOSE ENTITIES TO ASSIST WITH GUAM REALIGNMENT.**

(a) SPECIAL PURPOSE ENTITY DEFINED.—In this section, the term “special purpose entity” means any private person, corporation, firm, partnership, company, State or local government, or authority or instrumentality of a State or local government that the Secretary of Defense determines is capable of producing military family housing or providing utilities to support the realignment of military installations and the relocation of military personnel on Guam.

(b) REPORT ON INTENDED USE SPECIAL PURPOSE ENTITIES.—

(1) REPORT REQUIRED.—Not later than 180 days after the date of the enactment of this Act, the Secretary of Defense shall submit to the congressional defense committees a report describing the intended use of special purpose entities to provide military family housing or utilities to support the realignment of military installations and the relocation of military personnel on Guam.

(2) NOTICE AND WAIT.—The Secretary of Defense may not authorize the use of special use entities as described in paragraph (1) until the end of the 30-day period (15-day period if the report is submitted electronically) beginning on the date on which the report required by such paragraph is submitted.

(c) APPLICABILITY OF UNIFIED FACILITIES CRITERIA.—

(1) APPLICABILITY TO SECTION 2350K CONTRIBUTIONS.—Section 2824(c)(4) of the Military Construction Authorization Act for Fiscal Year 2009 (division B of Public Law 110–417; 10 U.S.C. 2687 note) is amended by adding at the end the following new subparagraph:

“(D) APPLICABILITY OF UNIFIED FACILITIES CRITERIA.—

The unified facilities criteria promulgated by the Under Secretary of Defense for Acquisition, Technology, and Logistics and dated May 29, 2002, and any successor to such criteria shall be the minimum standard applicable to projects funded using contributions referred to in subsection (b)(1) for a transaction authorized by paragraph (1).”.

(2) APPLICABILITY TO SPECIAL PURPOSE ENTITY CONTRIBUTIONS.—The unified facilities criteria promulgated by the Under Secretary of Defense for Acquisition, Technology, and Logistics and dated May 29, 2002, and any successor to such criteria shall be the minimum standard applicable to projects funded using contributions provided by a special purpose entity.

(3) REPORT.—Not later than 180 days after the date of the enactment of this Act, the Secretary of Defense shall submit to the congressional defense committees a report containing an evaluation of various options, including a preferred option, that the Secretary could utilize to comply with the unified facilities criteria referred to in paragraph (2) in the acquisition of military housing on Guam in connection with the realignment of military installations and the relocation of military personnel on Guam. In preparing the report, the Secretary shall consider the impact of—

(A) increasing the overseas housing allowance for members of the Armed Forces serving on Guam; and

(B) providing a direct Federal subsidy to public-private ventures.

(d) SENSE OF CONGRESS ON SCOPE OF UTILITY INFRASTRUCTURE IMPROVEMENTS.—Section 2821 of the Military Construction Authorization Act for Fiscal Year 2009 (division B of Public Law 110–417; 122 Stat. 4729) is amended—

(1) by redesignating subsection (c) as subsection (b); and

(2) in such subsection, by striking “should incorporate the civilian and military infrastructure into a single grid to realize and maximize the effectiveness of the overall utility system” and inserting “should support proposed utility infrastructure improvements on Guam that incorporate the civilian and military infrastructure into a single grid to realize and maximize the effectiveness of the overall utility system, rather than simply supporting one or more military installations”.

**SEC. 2833. WORKFORCE ISSUES RELATED TO MILITARY CONSTRUCTION AND CERTAIN OTHER TRANSACTIONS ON GUAM.**

(a) PREVAILING WAGE REQUIREMENTS.—Subsection (c) of section 2824 of the Military Construction Authorization Act for Fiscal Year 2009 (division B of Public Law 110–417; 10 U.S.C. 2687 note) is amended by adding at the end the following new paragraph:

“(5) APPLICATION OF PREVAILING WAGE REQUIREMENTS.—

“(A) IN GENERAL.—The requirements of subchapter IV of chapter 31 of title 40, U.S. Code, shall apply to any military construction project or other transaction authorized by paragraph (1) that is carried out on Guam using contributions referred to in subsection (b)(1) or appropriated funds.

“(B) SECRETARY OF LABOR AUTHORITIES.—In order to carry out the requirements of subparagraph (A) and paragraph (6) (relating to composition of workforce for construction projects), the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan Number 14 of 1950 and section 3145 of title 40, U.S. Code.

“(C) WAGE RATE DETERMINATION.—In making wage rate determinations pursuant to subparagraph (A), the Secretary of Labor shall not include in the wage survey any persons who hold a visa described in section 101(a)(15)(H)(ii)(b) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(b)).

“(D) ADDITION TO WEEKLY STATEMENT ON THE WAGES PAID.—In the case of projects and other transactions covered by subparagraph (A), the weekly statement required by section 3145 of title 40, U.S. Code, shall also identify each employee working on the project or transaction who holds a visa described in section 101(a)(15)(H)(ii)(b) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(b)).”

“(E) DURATION OF REQUIREMENTS.—The Secretary of Labor shall make and issue a wage rate determination for Guam annually until 90 percent of the funds in the Account and other funds made available for the realignment of military installations and the relocation of military personnel on Guam have been expended.”

(b) REPORTING REQUIREMENTS REGARDING SUPPORT OF CONSTRUCTION WORKFORCE.—Subsection (e) of such section is amended—

(1) by striking “Not later than” and inserting the following:

“(1) MILITARY CONSTRUCTION INFORMATION.—Not later than”; and

(2) by adding at the end the following new paragraph:

“(2) CONSTRUCTION WORKFORCE INFORMATION.—The annual report shall also include an assessment of the living standards of the construction workforce employed to carry out military construction projects covered by the report, including, at a minimum, the adequacy of contract standards and infrastructure that support temporary housing the construction workforce and their medical needs.”

#### **SEC. 2834. COMPOSITION OF WORKFORCE FOR CONSTRUCTION PROJECTS FUNDED THROUGH THE SUPPORT FOR U.S. RELOCATION TO GUAM ACCOUNT.**

(a) COMPOSITION OF WORKFORCE.—Section 2824(c) of the Military Construction Authorization Act for Fiscal Year 2009 (division B of Public Law 110–417; 10 U.S.C. 2687 note) is amended by inserting after paragraph (5), as added by section 2833, the following new paragraph:

“(6) COMPOSITION OF WORKFORCE FOR CONSTRUCTION PROJECTS.—

“(A) LIMITATION.—With respect to each construction project that is carried out using amounts described in subparagraph (B), no work may be performed by a person holding a visa described in section 101(a)(15)(H)(ii)(b) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(b)) unless—

“(i) the application for that visa has been approved pursuant to the issuance of a temporary labor certification by the Governor of Guam as provided under section 214.2 of title 8, Code of Federal Regulations;” and

“(ii) the Governor of Guam, in consultation with the Secretary of Labor, makes the certification described in subparagraph (C) to the Secretary of Defense.

“(B) SOURCE OF FUNDS.—Subparagraph (A) applies to—

“(i) amounts in the Account used for projects associated with the realignment of military installations and the relocation of military personnel on Guam;

“(ii) funds associated with activities under section 2821 of this Act; and

“(iii) funds for authorized military construction projects.”

“(C) CERTIFICATION.—The certification referred to in subparagraph (A) is a certification, in addition to the certifications required by section 214.2 of title 8, Code of Federal Regulations, that—

“(i) there are not sufficient U.S. workers who are able, willing, qualified, and available at the time of application for a visa and admission to the U.S. and at the place where the persons holding visas described in section 101(a)(15)(H)(ii)(b) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(b)) are to perform such skilled or unskilled labor; and

“(ii) the employment of such persons holding visas described in section 101(a)(15)(H)(ii)(b) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(15)(H)(ii)(b)) will not adversely affect the wages and working conditions of workers in Guam similarly employed.

“(D) SOLICITATION OF WORKERS.—In order to ensure compliance with subparagraph (A), as a condition of a contract covered by such subparagraph, the contractor shall be required to advertise and solicit for construction workers in the U.S., including Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, the Virgin Islands, and the Commonwealth of Puerto Rico, in accordance with a recruitment plan approved by the Secretary of Labor. The contractor shall submit a copy of the employment offer, including a description of wages and other terms and conditions of employment, to the Secretary of Labor at least 60 days before the start date of the workers under a contract. The contractor shall authorize the Secretary of Labor to post a notice of the employment offer on a website, with State, territorial, and local job banks, with State and territorial workforce agencies, and with any other referral and recruitment sources the Secretary of Labor determines may be pertinent to the employment opportunity.”

“(E) RECRUITMENT PERIOD.—The Secretary of Labor shall ensure that a contractor’s recruitment of construction workers complies with the recruitment plan required by subparagraph (D) for a period beginning 60 days before the start date of workers under a contract and continuing for the next 28 days. During the recruitment period, the contractor shall interview all qualified and available U.S. construction workers who have applied for the employment opportunity, and, at the close of the recruitment period, the contractor shall provide the Secretary of Labor with a recruitment report providing any reasons for which the contractor did not hire an applicant who is a qualified U.S. construction worker. Not later than 21 days before the start date of the workers under a contract, the Secretary of Labor shall certify to the Governor of Guam whether the contractor has satisfied the recruitment plan created under subparagraph (D).”

“(F) LIMITATION.—An employer, its attorney or agent, the Secretary of Labor, the Governor of Guam, and any designee thereof, may not seek or receive payment of any kind from any worker for any activity related to obtaining an H-2B labor certification with respect to any construction project that is carried out using amounts described in subparagraph (B).”

(b) REPORTING REQUIREMENTS.—

(1) SECRETARY OF DEFENSE.—Not later than June 30, 2010, the Secretary of Defense shall submit to the congressional committees specified in paragraph (3) a report containing an assessment of efforts to establish a Project Labor Agreement for construction projects associated with the Guam realignment as encouraged by Executive Order 13502, entitled “Use of Project Labor Agreements for Federal Construction Projects” (74 Fed. Reg. 6985), as a means of complying with the requirements of paragraph (6) of section 2824(c) of the Military Construction Authorization Act for Fiscal Year 2009, as added by subsection (a).

(2) SECRETARY OF LABOR.—Not later than June 30, 2010, the Secretary of Labor shall submit to the congressional committees specified in paragraph (3) a report containing an assessment of—

(A) the opportunities to expand the recruitment of construction workers in the U.S., including Guam, the Commonwealth of the Northern Mariana Islands, American Samoa, the Virgin Islands, and the Commonwealth of Puerto Rico, to support the realignment of military installations and the relocation of military personnel on Guam, consistent with the requirements of paragraph (6) of section 2824(c) of the Military Construction Authorization Act for Fiscal Year 2009, as added by subsection (a);

(B) the ability of labor markets to support the Guam realignment;

(C) the sufficiency of efforts to recruit U.S. construction workers; and

(D) the costs to the U.S. for recruitment plans required by such paragraph (6) and a proposed method to cover such costs.

(3) COVERED CONGRESSIONAL COMMITTEES.—The reports required by this subsection shall be submitted to the congressional defense committees, the Committee on Education and Labor of the House of Representatives, and the Committee on Health, Education, Labor, and Pensions of the Senate.

## **SEC. 2835. INTERAGENCY COORDINATION GROUP OF INSPECTORS GENERAL FOR GUAM REALIGNMENT.**

(a) INTERAGENCY COORDINATION GROUP.—There is hereby established the Interagency Coordination Group of Inspectors General for Guam Realignment (in this section referred to as the “Interagency Coordination Group”)—

(1) to provide for the objective conduct and supervision of audits and investigations relating to the programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam in connection with the realignment of military installations and the relocation of military personnel on Guam; and

(2) to provide for coordination of, and recommendations on, policies designed—

(A) to promote economic efficiency and effectiveness in the administration of the programs and operations described in paragraph (1); and

(B) to prevent and detect waste, fraud, and abuse in such programs and operations.

(b) MEMBERSHIP.—

(1) CHAIRPERSON.—The Inspector General of the Department of Defense shall serve as chairperson of the Interagency Coordination Group.

(2) ADDITIONAL MEMBERS.—Additional members of the Interagency Coordination Group shall include the Inspector General of the Department of Interior and the Inspector General of such other Federal agencies as the chairperson considers appropriate to carry out the duties of the Interagency Coordination Group.

(c) DUTIES.—

(1) OVERSIGHT OF GUAM CONSTRUCTION.—It shall be the duty of the Interagency Coordination Group to conduct, supervise, and coordinate audits and investigations of the treatment, handling, and expenditure of amounts appropriated or otherwise made available for military construction on Guam and of the programs, operations, and contracts carried out utilizing such funds, including—

(A) the oversight and accounting of the obligation and expenditure of such funds;

(B) the monitoring and review of construction activities funded by such funds;

(C) the monitoring and review of contracts funded by such funds;

(D) the monitoring and review of the transfer of such funds and associated information between and among departments, agencies, and entities of the U.S. and private and nongovernmental entities;

(E) the maintenance of records on the use of such funds to facilitate future audits and investigations of the use of such fund; and

(F) the monitoring and review of the implementation of the Defense Posture Review Initiative relating to the realignment of military installations and the relocation of military personnel on Guam.

(2) OTHER DUTIES RELATED TO OVERSIGHT.—The Interagency Coordination Group shall establish, maintain, and oversee such systems, procedures, and controls as the Interagency Coordination Group considers appropriate to discharge the duties under paragraph (1).

(3) OVERSIGHT PLAN.—The chairperson of the Interagency Coordination Group shall prepare an annual oversight plan detailing planned audits and reviews related to the Guam realignment.

(d) ASSISTANCE FROM FEDERAL AGENCIES.—

(1) PROVISION OF ASSISTANCE.—Upon request of the Interagency Coordination Group for information or assistance from any department, agency, or other entity of the Federal Government, the head of such entity shall, insofar as is practicable and not in contravention of any existing law, furnish such information or assistance to the Interagency Coordination Group.

(2) REPORTING OF REFUSED ASSISTANCE.—Whenever information or assistance requested by the Interagency Coordination Group is, in the judgment of the chairperson of the Interagency Coordination Group, unreasonably refused or not provided, the chairperson shall report the circumstances to the Secretary of Defense and to the congressional defense committees without delay.

(e) REPORTS.—

(1) ANNUAL REPORTS.—Not later than February 1 of each year, the chairperson of the Interagency Coordination Group shall submit to the congressional defense committees, the Secretary of Defense, and the Secretary of the Interior a report summarizing, for the preceding calendar year, the activities of the Interagency Coordination Group during such year and the activities under programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam. Each report shall include, for the year covered by the report, a detailed statement of all obligations, expenditures, and revenues associated with such construction, including the following:

(A) Obligations and expenditures of appropriated funds.

(B) A project-by-project and program-by-program accounting of the costs incurred to date for military construction in connection with the realignment of military installations and the relocation of military personnel on Guam, together with the estimate of the Department of Defense and the Department of the Interior, as applicable, of the costs to complete each project and each program.

(C) Revenues attributable to or consisting of funds contributed by the Government of Japan in connection with the realignment of military installations and the relocation of military personnel on Guam and any obligations or expenditures of such revenues.

(D) Operating expenses of agencies or entities receiving amounts appropriated or otherwise made available for military construction on Guam.

(E) In the case of any contract, grant, agreement, or other funding mechanism described in paragraph (2)—

(i) the amount of the contract, grant, agreement, or other funding mechanism;

(ii) a brief discussion of the scope of the contract, grant, agreement, or other funding mechanism;

(iii) a discussion of how the department or agency of the U.S. Government involved in the contract, grant, agreement, or other funding mechanism identified, and solicited offers from, potential individuals or entities to perform the contract, grant, agreement, or other funding mechanism, together with a list of the potential individuals or entities that were issued solicitations for the offers; and

(iv) the justification and approval documents on which was based the determination to use procedures other than procedures that provide for full and open competition.

(2) COVERED CONTRACTS, GRANTS, AGREEMENTS, AND FUNDING MECHANISMS.—A contract, grant, agreement, or other funding mechanism described in this paragraph is any major contract, grant, agreement, or other funding mechanism that—

(A) is entered into by any department or agency of the U.S. Government with any public or private sector entity; and

(B) involves the use of amounts appropriated or otherwise made available for military construction on Guam.

(3) FORM.—Each report required under this subsection shall be submitted in unclassified form, but may include a classified annex if the Interagency Coordination Group considers it necessary.

(4) RULE OF CONSTRUCTION.—Nothing in this subsection shall be construed to authorize the public disclosure of information that is—

(A) specifically prohibited from disclosure by any other provision of law;

(B) specifically required by Executive order to be protected from disclosure in the interest of national defense or national security or in the conduct of foreign affairs; or

(C) a part of an ongoing criminal investigation.

(5) SUBMISSION OF COMMENTS.—Not later than 30 days after receipt of a report under paragraph (1), the Secretary of Defense or the Secretary of the Interior may submit to the congressional defense committees any comments on the matters covered by the report as the Secretary concerned considers appropriate. Any comments on the matters covered by the report shall be submitted in unclassified form, but may include a classified annex if the Secretary concerned considers it necessary.

(f) PUBLIC AVAILABILITY; WAIVER.—

(1) PUBLIC AVAILABILITY.—The Interagency Coordination Group shall publish on a publically-available Internet website each report prepared under subsection (e). Any comments on the report submitted under paragraph (5) of such subsection shall also be published on such website.

(2) WAIVER AUTHORITY.—The President may waive the requirement under paragraph (1) with respect to availability to the public of any element in a report under subsection (e), or any comment with respect to a report, if the President determines that the waiver is justified for national security reasons.

(3) NOTICE OF WAIVER.—The President shall publish a notice of each waiver made under this subsection in the Federal Register no later than the date on which a report required under subsection (e), or any comment under paragraph (5) of such subsection, is submitted to

the congressional defense committees. The report and comments shall specify whether waivers under this subsection were made and with respect to which elements in the report or which comments, as appropriate.

(g) DEFINITIONS.—In this section:

(1) AMOUNTS APPROPRIATED OR OTHERWISE MADE AVAILABLE.— The term “amounts appropriated or otherwise made available for military construction on Guam” includes amounts derived from the Support for U.S. Relocation to Guam Account.

(2) GUAM.—The term “Guam” includes any island in the Northern Mariana Islands.

(h) TERMINATION.—

(1) IN GENERAL.—The Interagency Coordination Group shall terminate upon the expenditure of 90 percent of all funds appropriated or otherwise made available for Guam realignment.

(2) FINAL REPORT.—Before the termination of the Interagency Coordination Group pursuant to paragraph (1), the chairperson of the Interagency Coordination Group shall prepare and submit to the congressional defense committees a final report containing—

(A) notice that the termination condition in paragraph (1) has occurred; and

(B) a final forensic audit on programs and operations funded with amounts appropriated or otherwise made available for military construction on Guam.

## **SEC. 2836. COMPLIANCE WITH NAVAL AVIATION SAFETY REQUIREMENTS AS CONDITION ON ACCEPTANCE OF REPLACEMENT FACILITY FOR MARINE CORPS AIR STATION, FUTENMA, OKINAWA.**

(a) IN GENERAL.—The Secretary of Defense may not accept, or authorize any other official of the Department of Defense to accept, a replacement facility in Okinawa for air operations conducted at Marine Corps Air Station, Futenma, Okinawa until the Secretary reports to the congressional defense committees that the replacement facility and its planned operating procedures are consistent with naval aviation safety requirements.

(b) EXERCISE OF WAIVER AUTHORITIES.—

(1) RULE OF CONSTRUCTION.—Nothing in this section shall be construed as preventing the Secretary from exercising existing waiver authorities provided the Secretary first determines the waiver is necessary in the interest of national defense.

(2) REQUIRED REPORTING OF EFFORTS.—The report specified under subsection (a) shall clearly identify efforts made to mitigate deviations from criteria in the planning and construction of the replacement facility described in such subsection.



**SEC. 2837. REPORT AND SENSE OF CONGRESS ON MARINE CORPS  
REQUIREMENTS IN ASIA-PACIFIC REGION.**

(a) **REPORT REQUIRED.**—Not later than 180 days after the date of the enactment of this Act, the Secretary of Defense shall submit to the congressional defense committees a report on the training and readiness requirements necessary for Marine Forces Pacific, the field command of the Marine Corps within the U.S. Pacific Command.

(b) **CONTENTS OF REPORT.**—The report required under subsection (a) shall contain each of the following:

(1) A description of the units of the Marine Corps expected to be assigned or realigned on a permanent or temporary basis to Marine Forces Pacific, including the type of unit, the organizational element, the current location of the unit, and proposed location for the unit.

(2) A description of the training requirements necessary to sustain the current and planned realignment of forces specified in paragraph (1).

(3) A description of the strategic- and tactical-lift requirements associated with the training, operational readiness, and movement of Marine Forces Pacific, including programming information regarding the intent of the Department of Defense to eliminate deficiencies in the strategic-lift capabilities.

(c) **SENSE OF CONGRESS.**—It is the sense of Congress that an evaluation of training and readiness requirements for Marine Forces Pacific—

(1) should be conducted and completed as soon as possible;

(2) should include an analysis that, at a minimum, reviews the capabilities required to support the training, operational readiness, and movement of Marine Air-Ground Task Force; and

(3) should not impact the implementation of the recently signed international agreement entitled “Agreement between the Government of the U.S. of America and the Government of Japan concerning the Implementation of the Relocation of the III Marine Expeditionary Force Personnel and their Dependents from Okinawa to Guam”.

# Appendix B. Legislative Mandates for Guam Realignment in the National Defense Authorization Act for FY 2012

## SEC. 346. STUDY ON UNITED STATES FORCE POSTURE IN THE UNITED STATES PACIFIC COMMAND AREA OF RESPONSIBILITY.

### (a) Independent Assessment-

(1) IN GENERAL- The Secretary of Defense, in consultation with the Chairmen and Ranking Members of the Committees on Armed Services of the Senate and the House of Representatives, shall commission an independent assessment of United States security interests in the United States Pacific Command area of responsibility. The assessment shall be conducted by an independent, non-governmental institute which is described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code, and has recognized credentials and expertise in national security and military affairs with ready access to policy experts throughout the country and from the region.

(2) ELEMENTS- The assessment conducted pursuant to paragraph (1) shall include the following elements:

(A) A review of current and emerging United States national security interests in the United States Pacific Command area of responsibility.

(B) A review of current United States military force posture and deployment plans of the United States Pacific Command.

(C) Options for the realignment of United States forces in the region to respond to new opportunities presented by allies and partners.

(D) The views of noted policy leaders and regional experts, including military commanders in the region.

(b) Report- Not later than 90 days after the date of the enactment of this Act, the designated private entity shall provide an unclassified report, with a classified annex, containing its findings to the Secretary of Defense. Not later than 90 days after the date of receipt of the report, the Secretary of Defense shall transmit the report to the congressional defense committees, together with such comments on the report as the Secretary considers appropriate.

(c) Authorization of Appropriations- Of the amounts authorized to be appropriated under section 301 for operation and maintenance for Defense-wide activities, up to \$1,000,000, shall be made available for the completion of the study required under this section.

## SEC. 2207. GUAM REALIGNMENT

(a) Restriction on Use of Funds- Except as provided in subsection (c), notwithstanding any other provision of law, none of the funds authorized to be appropriated under this Act, and none of the amounts provided by the Government of Japan for military construction activities on land under the jurisdiction of the Department of Defense, may be obligated to implement the realignment of United States Marine Corps forces from Okinawa to Guam as envisioned in the United States-Japan Roadmap for Realignment Implementation issued May 1, 2006, until-

(1) the Commandant of the Marine Corps, in consultation with the Commander of the United States Pacific Command, provides the congressional defense committees the Commandant's preferred force lay-down for the United States Pacific Command Area of Responsibility;

(2) the Secretary of Defense submits to the congressional defense committees a master plan for the construction of facilities and infrastructure to execute the Commandant's preferred force lay-down on Guam, including a detailed description of costs and a schedule for such construction;

(3) the Secretary of Defense certifies to the congressional defense committees that tangible progress has been made regarding the relocation of Marine Corps Air Station Futenma;

(4) a plan coordinated by all pertinent Federal agencies is provided to the congressional defense committees detailing descriptions of work, costs, and a schedule for completion of construction, improvements, and repairs to the non-military utilities, facilities, and infrastructure on Guam affected by the realignment of forces; and

(5) the Secretary of Defense--

(A) submits to the congressional defense committees the report on the assessment of the United States force posture in East Asia and the Pacific region required under section 346 of this Act; or

(B) certifies to the congressional defense committees that the deadline established under such section for the submission of such report has not been met.

(b) Development of Public Infrastructure--

(1) **AUTHORIZATION REQUIRED-** Notwithstanding any other provision of law, if the Secretary of Defense determines that any grant, cooperative agreement, transfer of funds to another Federal agency, or supplement of funds available in fiscal year 2012 under Federal programs administered by agencies other than the Department of Defense will result in the development (including repair, replacement, renovation, conversion, improvement, expansion, acquisition, or construction) of public infrastructure on Guam, such grant, transfer cooperative agreement, or supplemental funding shall be specifically authorized by law.

(2) **PUBLIC INFRASTRUCTURE DEFINED-** In this section, the term 'public infrastructure' means any utility, method of transportation, item of equipment, or facility under the control of a public entity or State or local government that is used by, or constructed for the benefit of, the general public.

(c) **Exception to Restriction on Use of Funds-** The Secretary of Defense may use funds described in subsection (a) to carry out additional analysis under the National Environmental Policy Act of 1969 to include the following actions:

(1) A re-evaluation of live-fire training range complex alternatives, based upon the application of probabilistic modeling; and

(2) The ongoing analysis on the impacts of the realignment and build-up on Guam as described in subsection (a) on coral reefs in Apra Harbor, Guam.

# Appendix C. Guam Realignment Related Reports and Testimonies

Agency or Office	Report Title	Report Number	Report Date
GAO	Military Build-Up on Guam: Cost and Challenges in Meeting Construction Timelines	GAO 11-459R	6/27/2011
GAO	Comprehensive Cost Information and Analysis of Alternatives Needed to Assess Military Posture in Asia	GAO 11-316	5/25/2011
GAO	The Navy Needs Better Documentation to Support its Proposed Military Treatment Facilities on Guam	GAO 11-206	4/5/2011
GAO	Actions Needed to Improve DoDs ability to Manage Assess, and Report on Global Defense Posture Initiatives	GAO 09-706R	7/2/2009
GAO	Defense Infrastructure: Guam Needs Timely Information From DoD to Meet Challenges in Planning and Financing Off-Base Projects and Programs to Support a Larger Military Presence	GAO 10-90R	11/14/2009
GAO	Defense Infrastructure: DoD Needs to Provide Updated Labor Requirements to Help Guam Adequately Develop Its Labor Force for the Military Buildup	GAO 10-72	10/14/2009
GAO	Defense Infrastructure: Planning Challenges Could Increase Risks for DoD in Providing Utility Services When Needed to Support the Military Buildup on Guam	GAO 09-653	6/30/2009
GAO	High-Level Leadership Needed to Help Guam Address Challenges Caused by DoD-Related Growth	GAO 09-500R	4/9/2009
GAO	High Level Leadership Needed to Help Communities Address Challenges Caused by DoD Related Growth	GAO 08-665	6/17/2008
GAO	Defense Logistics: Navy Needs to Develop and Implement a Plan to Ensure That Voyage Repairs Are Available to Ships Operating Near Guam When Needed	GAO 08-427	5/12/2008
GAO	Defense Infrastructure: Planning Efforts for the Proposed Military Buildup on Guam Are in Their Initial Stages, with Many Challenges Yet to Be Addressed	GAO 08-722T	5/1/2008
GAO	Defense Infrastructure: Overseas Master Plans Are Improving, but DoD Needs to Provide Congress Additional Information About the Military Buildup on Guam	GAO 07-1015	9/12/2007
DoD OIG	Acquisition Procedures for the Guam Design-Build Multiple Award Construction Contract	D-2012-031	12/8/2011
DoD OIG	Guam Medical Plans do not Ensure Active Duty Family Members will have Adequate Access to Dental Care	D-2011-092	7/25/2011
DOD OIG	DoD Officials Need to Improve Reporting of Obligations and Expenditure for the Guam Realignment	D-2011-075	6/17/2011
DOD OIG	Planning Needed on Special Purpose Entity Funds for Guam Realignment	D-2011-070	6/15/2011

Agency or Office	Report Title	Report Number	Report Date
DoD OIG	Marine Corps Inventory of Small Arms was Generally Accurate but Improvements Are Needed for Related Guidance and Training	D2011-060	4/22/2011
DoD OIG	Interagency Coordination Group of Inspector Generals' for Guam Annual Report		2/1/2011
DoD OIG	Military Family Housing on Okinawa, Japan	D-2010-084	9/16/2010
DoD OIG	Significant Challenges Remain in the Realignment of US Forces From Okinawa to Guam		5/14/2010
DoD OIG	Interagency Coordination Group of Inspector Generals' for Guam Annual Report		2/1/2010
DOI	Insular Area Health Care "At the Crossroads of a Total Breakdown"	Hi-EV-OIA-0001-2008	9/2008
DOI	Government of Guam Revitalized Tax Collection and Enforcement Efforts Needed	P-EV-GUA-0002-2008	11/26/2008
DOT	Department of Transportation. Report on Single Audit of the Government of Guam	SA-2011-54	6/24/2011
DHS	Processing of Nonimmigrant Worker Petition in Support of U.S. Marine Corps Realignment Construction Requirements	DHS OIG 11-14	11/1/2010
NAVAUDSVC	Consideration of Requirements for U.S. Marine Corps Training Ranges Associated with the Relocation of Marine Corps Forces to Guam	N2012-0008	12/8/2011
NAVAUDSVC	Strategy for Considering Energy Efficient and Renewable Energy Initiatives Associated with the United States Marine Corps Guam Relocation Effort	N2012-0004	11/15/2011
NAVAUDSVC	Quality of Life Plans for the USMC Forces Relocating from Okinawa to Guam	N2011-0039	5/27/2011
NAVAUDSVC	Verification of Operational Capabilities and Internal Controls at Joint Region Marianas	N2011-0029	4/27/2011
NAVAUDSVC	Department of the Navy Fiscal Year 2012 Military Construction Projects Related to the Relocation of U.S Marine Corps Forces from Okinawa to Guam	N2011-0014	1/14/2011
NAVAUDSVC	Use of Established DoD Shipping Agreements/ Contracts for Department of the Navy Acquisition on Guam	N2010-0043	7/22/2010
NAVAUDSVC	Department of the Navy Proposed FY 2011 Military Construction Projects Related to the U.S. Marine Corps Okinawa/Guam Base Relocation	N2009-0051	9/1/2009
NAVAUDSVC	Department of the Navy Acquisition Checks and Balances at Naval Base Guam Supported Activities	N2009-0050	9/30/2009
NAVAUDSVC	Ocean Bills of Lading for Naval Facilities Engineering Command Marianas Contracts	N2009-0047	9/16/2009
NAVAUDSVC	Status of the Department of the Navy Processes and Controls Regarding the Management of the Government of Japan Funds Related to the Marine Corps' Relocation	N2009-0038	7/9/2009
NAVAUDSVC	Verification of an Acquisition Strategy for the U.S. Marine Corps' Relocation Effort	N2009-0028	5/8/2009

Agency or Office	Report Title	Report Number	Report Date
NAVAUDSVC	Auditor General Advisory Report: Department of the Navy's Processes Used to Establish Water Rates for Guam Waterworks Authority	N2009-0024	4/7/2009
NAVAUDSVC	Department of the Navy Proposed FY 2010 Government of Japan Funded Military Construction Projects Related to the U.S. Marine Corps Okinawa/ Guam Base Relocation	N2008-0050	9/17/2008
NAVAUDSVC	Status of Internal Controls at the Joint Guam Program Office	N2008-0030	4/8/2008
Defense Contract Audit Agency	Audit of Portions of Proposals Submitted in Response to Solicitation No 62742-09-R-00	4201-2010 L270000-02	9/3/2010
Defense Contract Audit Agency	Audit of Portions of the Direct and Indirect Expense Rates included in Proposal under Solicitation No. N62742 09 R 0005	4901-2010 N270000-01	4/2/2010

# Appendix D. Acronyms

DFAS	Defense Finance and Accounting Service
DHS	Department of Homeland Security
DOC	Department of Commerce
DoD	Department of Defense
DOI	Department of the Interior
DOL	Department of Labor
DON	Department of the Navy
DOT	Department of Transportation
DPRI	Defense Posture Review Initiative
ED	Department of Education
EPA	Environmental Protection Agency
FRF	Futenma Replacement Facility
FY	Fiscal Year
GAO	Government Accountability Office
GoG	Government of Guam
GOJ	Government of Japan
ICG	Interagency Coordination Group of Inspectors General for Guam Realignment
IPG	Interagency Planning Group
JGPO	Joint Guam Program Office
JPG	Joint Planning Group
MILCON	Military Construction
NAVAUDSVC	Naval Audit Service
NDAA	National Defense Authorization Act
NAVFAC	Naval Facilities Engineering Command
OASN(FM&C)	Office of the Assistant Secretary of the Navy (Financial Management and Comptroller)
OEA	Office of Economic Adjustment
OIG	Office of Inspector General
OUSD(C)	Office of the Under Secretary of Defense (Comptroller/Chief Financial Officer)
SCC	Security Consultative Committee
USMC	U.S. Marine Corps





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